A Simple DC-UPS Based in Forward-Forward Topology, Design and Simulation

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Abstract

This paper presents the analysis, design and simulation of a simple DC-UPS converter with integrated back-up and automatic transition. This converter makes automatic transitions between the main AC and the battery when a failure occurs; and it delivers uninterrupted DC power to the load through two independent power sources of commercial input power and battery power. The converter has the following characteristics: automatic transition between the main and the battery, no additional control to detect failure in main, single structure, galvanic isolation, multi output voltages capability and only one switch control for two operation modes: normal and back-up. The analysis, design and simulation for this converter are presented.

1. Introduction

Typical UPSes provide an ac output voltage and are made with a structure integrated by an ac rectifier a battery charger and an inverter, and several of these structures can be classified as in-line, line interactive, or off-line [1]-[2]. In this kind of UPSes an inverter changes the dc voltage in to ac voltage to feed “critical loads”. However, the loads that are fed by this UPSes normally are integrated too with an ac rectifier and one or two DC-DC converters in order to obtain the different output voltages needed to feed the final loads. This fact, cause that the energy process must be realized five or six times, causing a very low efficiency in all the transformation process. In order to avoid unnecessary energy processes with the typical UPSes and critical loads, the use of DC-UPSes has been increased in the last decades in the equipment of telecommunications and portable electronic equipment [3]-[11]. As a consequence this results in improvements as well as in the structure to reduce size, weight efficiency and cost. Although several approaches to solve the problems started above have been made [4]-[14], only a few of them take into account the automatic transition in their structure [12]-[15].

By eliminating the inverter and one rectifier stage, a simple arrangement to deliver DC-UPS is integrated in two stages: first include a battery charger and second a DC-DC converter to regulate output voltage necessary for the load (Figure 1). In this paper we introduce, a description about a family of converters for integrates DC-UPSes. In order to show the operation principle and particular guidelines to simulate and construct these converters, one topology from this family is selected and designed.

2. Topology description

Figure 2 shows the general structure of the topology of the DC-UPS converter presented in this paper. This structure is known as CIBAT (Converters with Integrated Back-up and Automatic Transition) and is presented in [15]. CIBAT is a family of four converters where each one consist of a main output a battery charger, one transformer with four windings, one switch SW, two special diodes (Dn and Db that make the automatic transition) and two entrances, principal entrance Vin (from main AC and full bridge diode with capacitor) and battery. Both entrances are connected in the primary winding of the transformer but the battery does it through a centered derivation with Db. Therefore both entrances share the same transformer, switch, and control loop, resulting in a simple parallel circuit with only one stage.
CIBAT can adopt different topologies; it depends on the mode to transfer the energy to the load and the mode to charge the battery. The principal structure uses only one converter with two outputs (forward or flyback) to feed the load and charge the battery. Consequently four combinations are made to build the converter: Forward-Forward, Forward-Flyback, Flyback-Forward and Flyback-Flyback, where the first name corresponds to the converter located between the main and the load, and the second name corresponds to one located between the main and the battery. The principal characteristics of this topology are listed below:

**Automatic transition:** It is possible under the idea if using two diodes to make this transition when a failure occurs and share the same transformer with a centered derivation with $D_B$. This idea is very important because it is not necessary to use a circuit control detect when a failure occurs, allowing fast dynamic response.

**Single structure:** These array allow to design a DC-UPS with only one stage, achieving thus high efficiency, small size and low cost. The energy is transferred to the output and to the battery simultaneously obtaining high reach.

**One switch:** This is another important advantage of this kind of converters because all of them use only one switch sharing the primary winding of the transformer, and use only one control loop compensation for both operation modes. This makes the design of the converter very simple, which is an other important characteristic in order to lower costs in industrial applications.

**Multi-output voltages:** Additional windings can be placed in order to obtain multi-outputs voltages, as shown in Figure 3.

### 2.1 Circuit operation

CIBAT topologies have only two operation modes normal plus battery charger and Back-up mode. Both operation modes are in CCM and share same switch, control loop, and transformer.

**Normal plus battery charger operation mode.** The principle of operation in normal plus battery charger mode in these converters is to transfer energy from $V_{in}$ to the load and charge the battery simultaneously. In this operation mode $D_B$ is turned on and $D_A$ is turned off (Fig.4). In this operation mode $D_B$ is never in a conduction state guaranteeing thus the correct operation of the converter.

**Back-up operation mode.** When a failure occurs suddenly in $V_{AC}$, $V_{in}$ decrease and $D_B$ makes an automatic transition to back-up mode due to the fact that the voltage in the anode is higher than in the cathode voltage in $D_B$, allowing feeding in the load through the battery using the same switch and transformer (Fig.5). When AC main returns, $V_{in}$ increases and $D_B$ is blocked since the cathode voltage is higher than the battery voltage ($V_{BAT}$), reaching normal operation mode again.
3. Forward-Forward DC-UPS

As initial analysis from CIBAT family, Forward-Forward topology was selected to be designed, simulated and constructed. Figure 6 shows the structure of Forward-Forward DC-UPS, this topology needs an auxiliary system in order to eliminate the magnetizing current ($i_{mag}$). This system is formed by a RCD network ($D_5$, $C_c$, and $R_c$) put in parallel with the switch [16]. The main output is integrated by $D_1$, $D_2$, $L_1$ and $C_o$; battery charger is formed by $D_3$, $D_4$, $L_2$ and $C_{BAT}$. Both outputs share the same transformer $TR$, switch $M$ and control. The converter is fed by $V_{BAT}$ and $V_{in}$ that is obtained from the AC rectifier input and capacitive $C_{in}$ filter. In order to ensure a good operation of the converter, the RCD network has to eliminate the magnetizing current in both operation modes (Normal plus battery charger and Back-up). Both operation modes are presented.

3.1 Normal plus battery charger mode

Equivalent circuit for this operation mode is shown in Fig. 7, where $D_B$ is blocked, $V_{AC}$ is considered like a simple DC input voltage ($V_{in}$) and $N_a$ consider the total primary winding.

Figure 7. Normal plus battery charger mode

Design this topology resulted very simple, due to is exactly as a simple forward converter with two outputs that share the primary winding of the transformer. Applying balance volts-seconds in $L_1$ with continuous conduction mode (CCM) the equation that defines the gain of the converter based on duty cycle $d_N$ and turns ration in the transformer $TR$ is:

$$V_o = d_N \frac{V_{in} N_c}{N_a}, \quad 0 \leq d_N \leq 1 - d_1$$

Where $d_1$ = duty cycle in normal operation mode, $d_1$ = time to eliminate magnetizing current, $V_{in}$ = dc voltage entrance from rectifiers, $N_a$ = total primary winding turns and $N_c$ = output winding turns. In the same form, applying volts-balance in $L_2$ with continuous conduction mode (CCM) in order to obtain the equation that defines the voltage $V_{BAT}$ in battery charger is:

$$V_{BAT} = d_N \frac{V_{in} N_d}{N_a}, \quad 0 \leq d \leq (1 - d_1)$$

Average output current in normal mode is:

$$\hat{i}_{o_N} = \frac{P_o}{V_o}$$

To calculate the inductance value as function from a ripple current in $L_1$ ($\Delta i_N$) and frequency is:

$$L_1 = \frac{V_v - V_{w} 2 N_a}{\Delta i_N \cdot f}$$

the peak current in the inductor $L_1$ is:

$$i_{pkN} = \hat{i}_{o_N} + \frac{\Delta i_N}{2}$$
Magnetizing inductance in transformer (TR) varies in each operation mode too, in the case of normal plus battery charger; it will be determined by equation (6)

\[ L_{magN} = A_L N_a^2 \]  

Where, \( A_L \) is the inductance factor from the core; the output voltage in RCD network (\( V_c \)) is like a discontinuous conduction mode (DCM) boost converter and is calculated with equation (7)

\[ V_{CN} = \frac{V_{in}}{2} \left( 1 + \frac{2d_1^2 R_t T}{L_{magN}} \right) \]  

Peak magnetizing current (\( \Delta i_{magN} \)) and the time to eliminate it (\( d_1 \)) every switching period can be obtained from Figure 8 with equations (8) and (9) respectively.

\[ \Delta i_{magN} = \frac{V_{in} d_1 T}{L_{magN}} \]  
\[ d_1 = \frac{V_{CN}}{V_{in}} \left( \frac{2L_{magN}}{R_c d_2 T} \right) \]  

3.2 Back-up mode

When a fail occurs suddenly in \( V_{AC} \), \( V_{in} \) decreases and \( D_B \) make automatic transition to back-up mode due to the voltage in anode is higher than cathode voltage.

Equation (10) calculated the output voltage in this operation mode

\[ V_o = d_B V_{BAT} \frac{N_c}{N_b}, \quad 0 \leq d_B \leq (1 - d_2) \]  

Where \( d_B \) = duty cycle in Back-up mode, \( d_2 \) = time to eliminate magnetizing current, \( V_{BAT} \) = dc voltage entrance battery, \( N_b \) = proportional primary winding turns and \( N_c \) = output winding turns. Equation (11) determines the magnetizing inductance in transformer (TR) in this operation mode.

\[ L_{magB} = A_L N_b^2 \]  

Equation (12) determines the output voltage (\( V_{CB} \)).

\[ V_{CB} = \frac{V_{BAT}}{2} \left( 1 + \frac{2d_B^2 R_t T}{L_{magB}} \right) \]  

Peak magnetizing current (\( \Delta i_{magB} \)) and the time to eliminate it (\( d_2 \)) are respectively.

\[ \Delta i_{magB} = \frac{V_{in} d_B T}{L_{magB}} \]
3.3. Design considerations

Design has to take into account the total relation among all the components and semiconductors in the converter as input voltage variation, output power and the possible relation turns in the transformer. Analysis and design of this converter only have to take into account restrictions in construction of the converter because it uses two topologies very known, sharing same transformer, switch and control. The desirable duty cycles in each operation mode, determine the possible turn ration in the transformer.

One important consideration to guarantee the correct operation of the converter in normal operation mode is to ensure that cathode voltage in DB has to be higher for any rectifier input voltage (Vin). In order to accomplish this, equation (15) has to be satisfied.

\[
V_{in} \frac{N_b}{N_a} > V_{BAT} \quad (15)
\]

4 Simulation results

In order to verify the operation principle from the converter, a prototype circuit was simulated in PSPICE with the characteristics named in TABLE I. Schematic circuit for simulation is in Figure 10; this circuit simulates the transition from normal operation mode to back-up mode and vice versa back-up to normal mode. Simulation was made in open loop changing the respective duties cycles for each operation mode by a logic circuit, no control loop was simulated. Both entrances were Vin and VBAT. Results of the converter with suddenly fails in main appear and it is possible to observe that the output voltage does not show significant change in its value. It is observed that the output voltage does not show any transitory when a fail occurs and it made automatic transition from one operation mode to another. Figures 11 and 12 shown waveforms from the converter when a fails occurs and when voltage entrance returns. Dynamic response of the system can be improved adjusting the control loop with the use of the transfer function of the converter.

<table>
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<tr>
<th>TABLE I. SPECIFICATIONS FOR SIMULATION</th>
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<tr>
<td>Topology</td>
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<tr>
<td>Main AC</td>
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<tr>
<td>DC voltage entrance from rectifiers (Vin)</td>
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<tr>
<td>Output voltage (V_o)</td>
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<tr>
<td>Battery voltage (VBAT)</td>
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<tr>
<td>Output power (Po)</td>
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<td>Switching frequency (f_s)</td>
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Figure 11. Output voltage (top trace), main current (center trace), and battery current (lower trace). In this case the proposed converter changes from the normal to Back-up
5. Conclusions

A single simple structure of converters has been developed to make automatic transition without fail detects circuit. Preliminary results of the converter shows that is an interesting propose from point of view operation, size, dynamic response and cost because it is a very simple structure based in a Forward or Flyback converter with two outputs. Simulation results show that the converter has a good operation in the transition. The transitions of operation in a one mode operation to another one do not suppose any change in the output voltage, as it were expected.

6. References