Characterizing effects of hydropower plants on sub-daily flow regimes

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ABSTRACT

A characterization of short-term changes in river flow is essential for understanding the ecological effects of hydropower plants, which operate by turning the turbines on or off to generate electricity following variations in the market demand (i.e., hydropeaking). The goal of our study was to develop an approach for characterizing the effects of hydropower plant operations on within-day flow regimes across multiple dams and rivers. For this aim we first defined ecologically meaningful metrics that provide a full representation of the flow regime at short time scales from free-flowing rivers and rivers exposed to hydropeaking. We then defined metrics that enable quantification of the deviation of the altered short-term flow regime variables from those of the unaltered state. The approach was successfully tested in two rivers in northern Sweden, one free-flowing and another regulated by cascades of hydropower plants, which were additionally classified based on their impact on short-term flows in sites of similar management. The largest differences between study sites corresponded to metrics describing sub-daily flow magnitudes such as amplitude (i.e., difference between the highest and the lowest hourly flows) and rates (i.e., rise and fall rates of hourly flows). They were closely followed by frequency-related metrics accounting for the numbers of within-day hourly flow patterns (i.e., rises, falls and periods of stability of hourly flows). In comparison, between-site differences for the duration-related metrics were smallest. In general, hydropeaking resulted in higher within-day flow amplitudes and rates and more but shorter periods of a similar hourly flow patterns per day. The impacted flow feature and the characteristics of the impact (i.e., intensity and whether the impact increases or decreases whatever is being described by the metric) varied with season. Our approach is useful for catchment management planning, defining environmental flow targets, prioritizing river restoration or dam reoperation efforts and contributing information for relicensing hydropower dams.

Keywords:
Hydrological alterations
Hydrological characterization
Hydropeaking
Impact assessment
Short-term
Sub-daily flows

1. Introduction

Critical components of the flow regime such as magnitude, frequency, duration, timing and rate of change control ecological processes in river ecosystems (Poff et al., 1997), and modification of flow regimes constrains the distribution of species, their adaptive capacity, survival, dispersal and reproduction (Lytte and Poff, 2004). Each of these five flow components describes the variability over a wide range of spatial and temporal scales (Ward, 1989). Flow variability may be considered at long time scales, which are commonly controlled by inter- and intra-annual variations in climate. Year-to-year variation in flows associated to the Interdecadal Pacific Oscillation index and shifts in the El Niño Southern Oscillation phenomenon (Biggs et al., 2005), and month-to-month variation in flows associated to seasons (Bejarano et al., 2010) are examples of large time-scale flow variability. Additionally, topography and geology are usually superimposed on climate and shape intra-annual flow variation in, for example, snowmelt- or groundwater-fed rivers (Bejarano et al., 2010). Furthermore, flow variability may also be considered at shorter time scales, from months to hours (or smaller). Day-to-day and within-day water gains or losses are ultimately caused by varying rates of precipitation, evapotranspiration, infiltration, and snowmelt and by catchment characteristics such as drainage area, slope and land uses (Lundquist and Cayan, 2002; Archer and Newson, 2002), and can often be in the order of 10% of the mean daily flow in free-flowing rivers (Schuster et al., 2008). While these variations are small relative to the variability at annual time scales, they are still likely to be important to some stream ecosystem characteristics. Biggs et al. (2005) described how flow variation at these different temporal scales affects different ecosystem components and
processes in rivers from New Zealand. They recognized that there may be a hierarchical relationship between time scales of flow variability and related physical processes, the effect of these physical processes on biological processes and, ultimately, the organization of ecosystem characteristics.

Rivers used for hydropower production usually show day-to-day and within-day flow variations that are considerably higher, more rapid and frequent than the ones characterizing free-flowing rivers. This is the result of turning hydro-turbines on or off to generate electricity based on variations in the market demand, so called hydropeaking (Moog, 1993), which has been recently promoted by the deregulation of the energy market. Additionally, changes in the short-term flow regimes are accompanied by changes in hydraulic parameters such as water level, flow velocity and bed shear stress, and in water quality and river morphology, and all together cause significant environmental losses in the fluvial systems. Although there are still many unknowns, studies have revealed significant effects of hydropoaking on fish, including low egg survival (Casas-Mulet et al., 2015), slow growth (Flodmark et al., 2004), reduced abundance (Kornam and Campana, 2009), stranding (Saltveit et al., 2001), habitat deterioration (Vehanen et al., 2005) and changes in behavior (Roberson et al., 2004). A few studies have also pointed out heavy drift of macroinvertebrates (Carroll et al., 2012), and reductions in the occurrences of beetles (Van Looy et al., 2007) and macrophytes (Mjelde et al., 2013). Above all, hydropower is the world’s leading form of renewable energy, and its demand is likely to increase globally as being a clean, flexible, and renewable energy source which does not produce greenhouse gases. Development of new hydropower plants is accelerating in Southeast Asia, Africa, and Latin America (Jager et al., 2015). In Europe, hydropower is being promoted by legislation such as the Renewable Energy Directive (RES; 2009/28/EC), which sets a legally binding national target of 20% of gross final energy consumption from renewable sources by 2020. In addition, in northern countries, climate change models predict future hydrographs to match power demands better, increasing the potential for producing more electricity (European Greenpower Marketing, 2006). Consequently, an important challenge for river management arises which involves maximizing hydropower production with minor ecological impacts. To cope with this demand for industry and society, assessment of the short-term changes in river flow following hydropoeaking and of the resulting ecological responses is key. This paper deals with such assessment.

To evaluate the impact of hydropoeaking resulting from hydropower production on short-term (e.g., sub-daily) flow regimes, it is necessary to characterize the within-day flow regime along the river reach affected by the hydropower plant and to quantify its deviation from the unaltered state. Metrics available are scarce and do not allow a comprehensive characterization of short-term flow regimes as they do not account for all hydrological attributes of ecological importance (Zimmerman et al., 2010; Meile et al., 2011; Haas et al., 2014; Sauterleute and Charmasson, 2014; Bevelhimer et al., 2015; Carroll et al., 2015; Chen et al., 2015). In addition, most proposed metrics are not conceived to quantify the degree of alteration. Research to date has focused on flow variability at the daily, seasonal and longer time scales (see review by Olden and Poff, 2003). Most characterizations of flow regimes, quantitative measures of their alterations, and tools and software available for calculations are based on daily-averaged flow records (e.g., Richter et al., 1996, 1997; Clausen and Biggs, 2000; Baker et al., 2004; Gao et al., 2009; Carlisle et al., 2011; Fitzhugh and Vogel, 2011), which are not precise enough to capture key components of sub-daily flow fluctuation. Long series of instantaneous flow records (e.g., every 15, 30 or 60 min) are required from both the altered and comparable free-flowing conditions for characterization of flow regimes at such shorter time scales and for evaluating the intensity of the changes.

The fact that these pairs of flow series are commonly difficult to find might have discouraged the studies on short-term flow regimes up to date, though this situation is reverting in recent times. Thus, new methods are needed to comprehensively describe all facets of within-day flow regimes and assess their degree of deviation from the natural conditions, to identify dams that artificially modify natural sub-daily variations and river reaches that are likely to experience ecological degradation because of it. Such analyses are useful for catchment management plans, defining environmental flow targets, prioritizing river restoration or dam reoperation efforts and contributing information for relicensing hydropower dams. The goal of our study was to develop an approach for assessing the effects of hydropower dam operations on within-day flow regimes across multiple dams and rivers. For this aim we first defined ecologically meaningful metrics that provide a full representation of the short-term variation of flow in free-flowing rivers and rivers exposed to hydropoeaking. We then defined metrics that enable quantification of the deviation of the characterized altered short-term flow regime from the unaltered state. We applied devised characterization and impact metrics to several study sites along a free-flowing river and a river with hydropoeaking (at hydropower plant locations) and, with management facilitation purposes, we classified them according to their short-term flow regime alterations.

2. Material and methods

2.1. Study area and flow data

The study was located to the Vindel and Ume rivers in the Ume River basin in northern Sweden (Fig. 1). The Vindel River is the main tributary of the Ume River; it runs parallel to the Ume and joins it about 30 km upstream of the mouth in the Baltic Sea. Both rivers show similar characteristics. The whole Ume basin is characterized by cold-temperate climate, boreal coniferous vegetation and podzol soils. The upland vegetation consists of subalpine birch forests dominated by Betula pubescens, and coniferous forests dominated by Pinus sylvestris and Picea abies. The riparian vegetation includes woody species such as Alnus incana, B. pubescens and Salix spp., and herbs such as Carex spp. and Ranunculus reptans. The Vindel and Ume rivers have catchment areas encompassing 13,183 and 13,633 km², respectively, their channel lengths are 445 and 455 km, and their natural mean monthly flows (at the junction) 197 and 239 m³/s. Whereas the flow regime of the Vindel River remains unaltered, the Ume River flow is highly impacted by a chain of hydropower plants and reservoirs which cause hydropoeaking (Fig. 2). The free-flowing regime experiences a marked seasonal variation with low flows during late autumn and winter and floods during spring. Within a day, the free-flowing regime is relatively smooth and only fluctuates significantly after water additions or losses resulting from significant precipitation, evapotranspiration, infiltration and snowmelt events. In contrast, dams and reservoirs alter both the long- and short-term flow regimes of the Ume River; whereas the natural seasonality of flows is attenuated, the within-day flows fluctuate abruptly (Fig. 2). We selected three sites along the Vindel River [from upstream to downstream: Gautsträsk (U; 33 m³/s mean annual flow), Sorsele (S; 119 m³/s) and Granåker (K; 176 m³/s)] and eight sites along the Ume River coinciding with dam and reservoir locations [Grundfors (G; 187 m³/s), Rusfors (R; 213 m³/s), Bälfforsen (L; 215 m³/s), Betsela (B; 218 m³/s), Tuggen (T; 222 m³/s), Bjurfors övre (O; 227 m³/s), Bjurfors nedre (N; 232 m³/s) and Harrsele (H; 235 m³/s)] where 15-min and 1-h interval flows were available, respectively (Fig. 1). For the
2.2. Short-term flow regime characterization and alteration assessment

Our first goal was to characterize the daily flow variation. We therefore defined a series of hydrological metrics based on 1-h flow intervals, named Short-Term Characterization Metrics (STCM; Table 1). For the metrics definition, the within-day hydrograph was divided into hours (H) and periods (P). A within-day hourly hydrograph is characterized by 24 h (H) with individual flow records, \( Q_h \), which can be assigned one of the following patterns: (1) rise (RH), when \( Q_h - Q_{h-1} > 0 \); (2) fall (FH), when \( Q_h - Q_{h-1} < 0 \); (3) stability (SH) when \( Q_h - Q_{h-1} = 0 \); (4) change (CH), when the pattern in \( Q_{h-1} \) ≠ the pattern in \( Q_{h+1} \); (5) minimum (MinH), when \( Q_h = Q_{\text{min}} \); and (6) maximum (MaxH), when \( Q_h = Q_{\text{max}} \).
Where \( h \) is the 1-h time step and \( \text{min} \) and \( \text{max} \) are the daily hourly minimum and maximum, respectively. One to several periods (\( P \)) of stable flow among hours (cf. above) can be identified in a within-day hydrograph. Therefore, \( P \) denotes flow events lasting between one and 24 h and which can be classified according to the hourly pattern into periods of rise (\( RP \)), fall (\( FP \)), stability (\( SP \)), minimum (\( \text{MinP} \)), and maximum (\( \text{MaxP} \)). STCM were developed to quantify magnitudes, rates of change, frequencies, durations and timing of \( P \) from each day of the year (i.e., \( i \)th day of the year) (see Table 1 for detailed information on STCM). For several-year long series (i.e., \( n \) years of the series), each metric was computed as daily average for the whole dataset (Eq. (1)).

\[
\text{STCM}_{\text{day}(i)} = \frac{\sum_{j=1}^{n-365} \text{STCM}_{\text{day}(j)}}{n}
\]  

(1)

Consequently, there are 365 (or 366 for leap years) values for each characterization metric. When flow records were available for shorter time steps, such as 15-min intervals, they were transformed into hourly flows by selecting only o’clock times. STCM describing magnitude and rate-related features were previously standardized by dividing between the mean hourly flow for the dataset, which facilitates further comparisons with other river reaches of different size. Similar to parameters defined by Richter et al. (1996) for the characterization of long-term flow regime, our STCM were assumed relevant for the biotic composition of aquatic, wetland, and riparian ecosystems (Poff et al., 1997). Table 2 briefly summarizes the main changes in the environment and responses of organisms resulting from the alteration of the short-term flow regimes, which have been reported in previous studies. Derived ecological consequences include reduced performance, slowed growth, and increased mortality of individuals, and ultimately decreased species diversity, community composition shifts, and eventually, exotic species invasion (see Table 2 for further details and references). Such changes have been highlighted to be very dependent on the species, age, life-stage and river morphology (Scruton et al., 2003; Roni et al., 2008; Tuhtan et al., 2012; Hauer et al., 2014).

We aimed for an evaluation of the short-term flow-regime alteration of the river reaches subjected to hydropower production, and hence affected by hydropoeaking. Our approach was based on the comparison of the whole suite of STCM for pairs of similar river reaches, one with hydropoeaking and the other free-flowing. We aimed at identifying the type of impact, as the attributes that were most impacted by the short-term flow regime, and the intensity and sign of the impact, as the degree of deviation from the reference condition and whether the impact increases or decreases whatever is being described by the metric. Type, intensity and sign of the short-term hydrological alteration are essential for understanding its potential ecological consequences. We developed a suite of Short-Term flow regime Impact Metrics (STIM) following Eqs. (2), (3) and (4).

\[
\text{STCM} = \frac{\sum_{j=1}^{n-365} \text{STCM}_{\text{day}(j)}}{365}
\]  

(2)

\[
\text{If} \left( \frac{\text{STCM}_{\text{day}(hP)}}{\text{STCM}_{\text{day}(ff)}} \right) \geq 0
\]

\[
\text{STIM}_{\text{day}(i)} = \log_{10} \left( \frac{\text{STCM}_{\text{day}(hP)} - \text{STCM}_{\text{day}(ff)}}{\text{STCM}_{\text{day}(ff)}} + 1 \right)
\]  

(3)

\[
\text{If} \left( \frac{\text{STCM}_{\text{day}(hP)}}{\text{STCM}_{\text{day}(ff)}} \right) < 0
\]

\[
\text{STIM}_{\text{day}(i)} = \left[ \log_{10} \left( \frac{\text{STCM}_{\text{day}(hP)} - \text{STCM}_{\text{day}(ff)}}{\text{STCM}_{\text{day}(ff)}} + 1 \right) \right] * (-1)
\]  

(4)

where \( hP \) and \( ff \) are the hydropoeaking and free-flowing hourly flow series, respectively. Each impact metric quantifies the deviation from the reference condition of the corresponding characterization metric (Eqs. (3) and (4)), and the intensity of the impact is relative to the yearly average of that metric in the reference conditions (Eq. (2)). \( \log_{10} \) was applied to the quotient to avoid excessively high values when the yearly average of certain metrics in the reference conditions are very low (e.g., metrics related to flow rates of change). Impact metrics can take any positive (Eq (3)) and negative value (Eq (4)). Further details on STIM are shown in the Table 1.

**Fig. 2.** Example of (a) the long-term flow regime and (b) short-term flow regime for the free-flowing Vindel River (black) and the Ume River (grey), which is used for hydropower production. The \( x \)-axis shows (a) the months and (b) the days of the week.
Table 1
Description of the proposed Short-Term Characterization and Impact Metrics (STCM and STIM).

<table>
<thead>
<tr>
<th>Metric’s name, abbreviation and units</th>
<th>Metric’s definition</th>
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</thead>
<tbody>
<tr>
<td>Frequency</td>
<td></td>
</tr>
<tr>
<td>Total periods of rise (TRP; #periods/day)</td>
<td>Daily mean total periods characterized by a sustained over time hourly flow rise</td>
</tr>
<tr>
<td>Total periods of fall (TFP; #periods/day)</td>
<td>Daily mean total periods characterized by a sustained over time hourly flow fall</td>
</tr>
<tr>
<td>Total periods of stability (TSP; #periods/day)</td>
<td>Daily mean total periods characterized by a sustained over time hourly flow stability</td>
</tr>
<tr>
<td>Total periods of minimum (TMinP; #periods/day)</td>
<td>Daily mean total periods characterized by a sustained over time that day’s hourly minimum flow</td>
</tr>
<tr>
<td>Total periods of maximum (TMaxP; #periods/day)</td>
<td>Daily mean total periods characterized by a sustained over time that day’s hourly maximum flow</td>
</tr>
<tr>
<td>Total hourly reversals (TR; #reversals/day)</td>
<td>Daily mean total rises and falls of hourly flows</td>
</tr>
<tr>
<td>Duration</td>
<td></td>
</tr>
<tr>
<td>Duration periods of rise (DurRP; h/day)</td>
<td>Daily mean duration of the periods characterized by a sustained over time hourly flow rise</td>
</tr>
<tr>
<td>Duration periods of fall (DurFP; h/day)</td>
<td>Daily mean duration of the periods characterized by a sustained over time hourly flow fall</td>
</tr>
<tr>
<td>Duration periods of stability (DurSP; h/day)</td>
<td>Daily mean duration of the periods characterized by a sustained over time hourly flow stability</td>
</tr>
<tr>
<td>Duration periods of minimum (DurMinP; h/day)</td>
<td>Daily mean duration of the periods characterized by a sustained over time that day’s hourly minimum flow</td>
</tr>
<tr>
<td>Duration periods of maximum (DurMaxP; h/day)</td>
<td>Daily mean duration of the periods characterized by a sustained over time that day’s hourly maximum flow</td>
</tr>
<tr>
<td>Magnitude &amp; rate</td>
<td></td>
</tr>
<tr>
<td>Mean amplitude (MeanA; unitless)</td>
<td>Standardized daily mean of the difference between maximum and minimum hourly flows</td>
</tr>
<tr>
<td>Mean minimum periods of stability (MeanMinSP; unitless)</td>
<td>Standardized daily mean minimum flow of periods characterized by a sustained over time hourly flow stability</td>
</tr>
<tr>
<td>Mean maximum periods of stability (MeanMaxSP; unitless)</td>
<td>Standardized daily mean maximum flow of periods characterized by a sustained over time hourly flow stability</td>
</tr>
<tr>
<td>Mean rise rate (MeanRR; unitless)</td>
<td>Standardized daily mean hourly flow rise rate</td>
</tr>
<tr>
<td>Mean fall rate (MeanFR; unitless)</td>
<td>Standardized daily mean hourly flow fall rate</td>
</tr>
</tbody>
</table>

2.3. Data analysis

STCM were computed for the 9-year hourly flow series from the 11 selected sites to describe their short-term flow regimes. We conducted an exploratory analysis of the hourly flow records and of the STCM using duration curves and plotting the metrics against flows. Additionally, we looked for the correlated metrics as those with Spearman’s Correlation Index higher than ±0.7. Based on the STCM, the series of STIM was computed for the eight sites at the Ume River to evaluate the type, intensity and sign of short-term alteration resulting from hydropoeaking. According to their hydrological and physical characteristics, Sorsele and Granåker sites in the Vindel River were selected as references for the upper site (i.e., Grundfors) and for the remaining sites (i.e., from upstream to downstream: Rusfors, Bålforsen, Beteše, Tuggen, Bjurfors övre, Bjurfors nedre, and Harasele) in the Ume River, respectively (Fig. 1). Non-existent hourly flow records in the flow series from each site were interpolated with the previous and following records.

We searched for significant differences among sites for the characteristics and the alteration of their short-term flow regimes by running Kruskal-Wallis tests followed by the Games-Howell (GH) post hoc test on the sites’ STCM and STIM (P < 0.05 for significant results). Afterwards, regulated sites were classified based on the impact of hydropoeaking on their short-term flow regimes. On the one hand, we ranked the regulated sites based on the type and intensity of the impact. For this, we averaged the absolute values of the annually averaged impact metrics referring to all or each of the aspects of the flow regime and classified sites in four categories as slightly, moderately, highly, and strongly impacted. On the other hand, we classified the sites per type, intensity, sign and timing of the impact of each site’s short-term flow regime. For this, we carried out hierarchical cluster analysis using the Ward method on the seasonally averaged impact metrics from each site for all or each of the flow regime aspects. Statistics were performed in SPSS 23. We used Matlab 2015 for metric calculations.

3. Results

3.1. Characterization of short-term flow regimes

We defined 16 STCM (Table 1). Six metrics described frequency aspects of the short-term flow regime, and 5 described magnitude and rate, and duration aspects, each. Information about timing was also provided as each metric showed a value for each day of the year. Eleven out of the 16 metrics were uncorrelated (Supplementary information S1). The number of periods of rise and fall (TRP and TFP), respectively, were positively correlated, so were the numbers of hourly reversals (TR) to both metrics, and the mean amplitude of hourly flows (MeanA) to the number of periods of rise. Furthermore, the mean amplitude was negatively correlated to the mean rise rate of hourly flows (MeanRR). Rise and fall (MeanFR) rates of hourly flows were also negatively correlated. Finally, the duration of periods of maximum and stability (DurMaxP and DurSP) were positively correlated. 1% of the flow data were interpolated. Duration curves for the hourly flows and characterization metrics highlighted the significant differences between free-flowing and hydropoeaking sites. The analyzed hydropower systems were designed to operate efficiently across the medium range of flows (i.e., around Q10%–Q80%), causing higher percentages of exceedance than in natural conditions (Fig. 3). They are also able to cope and efficiently operate at higher flows (i.e., Q1%–Q10%) and shut down at the lower flows (i.e., Q0%–Q100%). Across these high and low flow ranges the operation results into lower percentages of exceedance than in natural conditions (Fig. 3). During the optimum range of the plant operation, most of the characterization metrics oscillated over a narrow range of values which were higher than for natural flow regimes. This is shown by the steeper slopes and upward position of the duration curves of the metrics from the hydropoeaking sites between STCM10%–STCM80% (Fig. 4), as well as by the unscattered cloud of hydropoeaking metrics values above those from the free-flowing sites (Supplementary information S2). Some duration-related metrics, however, were lower in hydropoeaking than in free-flowing regimes from a certain percentage of exceedance of the metric (e.g., DurRP or DurFP, Fig. 4). Additionally, unlike in the free-flowing sites, the metrics’ lowest values (i.e., above STCM80%) in the hydropoeaking sites did not equal zero for most cases and the majority of them were exceeded almost 100% of the time (Fig. 4).
### Table 2
Summary of the main changes in the environment and responses of organisms resulting from the alteration of the short-term flow regimes, which have been reported in representative studies.

<table>
<thead>
<tr>
<th>Flow feature and alteration</th>
<th>Changes on environment and responses of organisms</th>
<th>References</th>
</tr>
</thead>
<tbody>
<tr>
<td>Frequency</td>
<td>Increased within-day number of reversals</td>
<td>Scruton et al. (2003), Taylor et al. (2014), Capra et al. (2016), Boavida et al. (2017)</td>
</tr>
<tr>
<td>Magnitude</td>
<td>Increased within-day magnitude of periods of maximum flows</td>
<td>Jensen and Johnsen (1999), Friedman and Auble (1999), Madsen et al. (2001), Lind et al. (2014), Bruston (1985), Kirk (1994)</td>
</tr>
<tr>
<td>Decreased within-day magnitude of periods of minimum flows</td>
<td>Reduced suitability of habitat for fish due to lower volume of water in the river and reduction in average depth and width of the river channel, which result in oxygen stress and cause problems with fish refuge and feeding.</td>
<td>Vollset et al. (2016)</td>
</tr>
<tr>
<td></td>
<td>Rapid within-day flow increase</td>
<td>Smith and Reay (1991)</td>
</tr>
<tr>
<td></td>
<td>Longer within-day periods of minimum and maximum flows</td>
<td>Garcia de Jalón et al. (1998), Porporato et al. (2001), Stella et al. (2010), Mjelde et al. (2011)</td>
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(continued on next page)
All characterization metrics were significantly different between sites \((p < 0.05; \text{Fig. 5; Supplementary information S3})\). Post-hoc tests revealed that all characterization metrics differed significantly between the free-flowing and hydropeaking sites (differences of the metric values are seen rightward and leftward of the dashed line in Fig. 5). However, some metrics were similar for free-flowing and hydropeaking sites, such as TSP, TMinP, DurMinP, DurMaxP, and MeanMaxSP (Fig. 5; Supplementary information S3). In addition, some metrics differed significantly within the pool of hydropeaking sites (leftward of the dashed line) or within the pool of free-flowing sites (rightward of the dashed line; Fig. 5). For example, most of the sites showed significantly different values for TFP, DurFP, MeanA, and MeanRR, whereas MeanMinSP was significantly higher in the free-flowing sites than in the hydropeaking sites and slightly longer for the most upstream hydropeaking sites (Fig. 5). There were no differences in the daily frequencies of the periods of minimum and maximum (TMinP and TMaxP) between free-flowing and hydropeaking sites, and their durations were slightly different. Most magnitude metrics showed higher dispersion in the free-flowing sites (Fig. 5). Whereas the median for the mean daily hourly amplitude (MeanA), and for the daily periods of flow stability’s maximum value (MeanMaxSP) was significantly higher along hydropeaking sites (Fig. 5), the median for the minimum daily value of flow stability (MeanMinSP) was significantly lower in the hydropeaking sites compared to the free-flowing sites. Hourly flows rose and fell (MeanRR and MeanFR) significantly faster under hydropeaking sites compared to the free-flowing sites. Post-hoc tests revealed that all characterization metrics differed significantly between the free-flowing and hydropeaking sites (differences of the metric values are seen rightward and leftward of the vertical dashed line in Fig. 5). However, some metrics were similar for free-flowing and hydropeaking sites, such as TSP, TMinP, DurMinP, DurMaxP, and MeanMaxSP (Fig. 5; Supplementary information S3). In addition, some metrics differed significantly within the pool of hydropeaking sites (leftward of the dashed line) or within the pool of free-flowing sites (rightward of the dashed line; Fig. 5). For example, most of the sites showed significantly different values for TFP, DurFP, MeanA, and MeanRR, whereas MeanMinSP was significantly higher in the free-flowing sites compared to the hydropeaking sites but barely differed among the hydropeaking ones (Fig. 5). As shown by the width of the boxes in Fig. 5, in general, metrics characterizing the free-flowing sites varied along the year more than in the hydropeaking sites (Fig. 5). Seasonally averaged characterization metrics values are detailed in Supplementary information S4.

As a general pattern, most hydropeaking sites showed more periods of rise and fall (TRP and TFP) and less periods of stability (TSP) per day than the free-flowing sites. Some upstream hydropeaking sites, however, were similarly or more stable per day than the free-flowing ones (Fig. 5). The frequency of hourly reversals per day (TR) was remarkably higher in the hydropeaking sites than in the free-flowing sites (Fig. 5). Despite being more frequent, periods of rise and fall per day were considerably shorter in the hydropeaking sites compared to the free-flowing sites (Fig. 5). Periods of stability were of similar duration for the downstream hydropeaking and free-flowing sites and slightly longer for the most upstream hydropeaking sites (Fig. 5). There were no differences in the daily frequencies of the periods of minimum and maximum (TMinP and TMaxP) between free-flowing and hydropeaking sites, and their durations were slightly different. Most magnitude metrics showed higher dispersion in the free-flowing sites (Fig. 5). Whereas the median for the mean daily hourly amplitude (MeanA), and for the daily periods of flow stability’s maximum value (MeanMaxSP) was significantly higher along hydropeaking sites (Fig. 5), the median for the minimum daily value of flow stability (MeanMinSP) was significantly lower in the hydropeaking sites compared to the free-flowing sites. Hourly flows rose and fell (MeanRR and MeanFR) significantly faster under hydropeaking conditions (Fig. 5).

### 3.2. Assessment of short-term flow regimes alteration

There were 16 STIM, each corresponding to a STCM (Table 1). Impact metrics ranged between 0.5 and 2 (Fig 6). Between sites comparisons of the impact metrics showed the following results. Rates were similarly impacted in all sites (i.e., \(P > 0.05\) for MeanRR-I and MeanFR-I; Fig 6; Supplementary information S5). Among significantly different impact metrics (\(P < 0.05\)), some duration related metrics only differed in one or two sites such as DurFP-I and DurMaxP-I, whereas others differed in many of the sites (TRP-I, TFP-I, TR-I, DurMinP-I, MeanA-I, and MeanMinSP-I; Fig 6 and Supplementary information S5). Seasonally averaged impact metric values are detailed in Supplementary information S6. Below follows a detailed evaluation of the impacts on the different metrics.

Frequency related features were in general moderately impacted (Fig 6). As shown by the relatively high positive value of the impact on the number of periods of rise and fall and hourly reversals per day (TRP-I, TFP-I and TR-I), these events tended to be much more frequent in the sites subjected to hydropower production than in the free-flowing ones, being the impact higher as we move downstream (Fig 6). The seasonal analysis of these metrics also highlights that the impact was most evident during autumn and winter (Fig 6). The frequency of periods of stability per day (TSP-I) was impacted oppositely in the downstream and upstream sites. In general, whereas it slightly decreased downstream and
mainly during summer and autumn, it moderately increased upstream under hydropeaking conditions. No particular seasonal pattern was found for the TSP-I along the upstream sites (Fig. 6). Finally, the impact on the frequencies of the periods of minimum and maximum per day was very low (TMinP-I and TMaxP-I mean close to zero) for all sites (Fig. 6). Impact on the duration related features was the lowest compared to other flow features (Fig. 6). Depending on the metric, the site and the season, hydropeaking resulted in shorter or longer hourly events than in natural conditions (Fig. 6). Events of rise and fall (DurRP-I and DurFP-I) were moderately shorter in all sites affected by hydropeaking than in the free-flowing ones, but the highest impacts occurred during spring for the rise events, and during autumn and winter for the fall events (Fig. 6). The daily duration of the periods of stability, minimum and maximum (DurSP-I, DurMinP-I and DurMaxP-I) hardly differed between hydropeaking and free-flowing conditions, and in general, minor changes on these features occurred predominantly during winter and spring (Fig. 6). The impact on the magnitude of the flows characterizing periods of daily maximum and minimum and of the hourly rise rates (MeanMinSP-I, MeanMaxSP-I and MeanRR-I) varied with seasons. For example, hydropeaking increased moderately the maximum hourly flows during autumn and winter, whereas it hardly decreased them during spring and summer. In contrast, hydropeaking moderately decreased the minimum hourly flows and strongly increased the hourly rise rates in all seasons but winter (Fig. 6). The impact on the mean amplitude and the mean hourly fall rates (MeanA-I and MeanFR-I) was strong regardless of the season, and except for the MeanA-I, which was slightly more affected downstream, all magnitude and rate related metrics were similarly impacted in all sites (Fig. 6).

3.3. Classification of sites based on short-term alteration of flow regimes

When averaging the absolute values for the annually averaged STIM (for all metrics or for each subset referred to each flow feature), the intensity of the impact ranged between 0 and 1.05. Hence, the simplest classification of the sites was based on the impact type and intensity, and regardless of the sign and the timing, and consisted of four categories: free-flowing (0), slightly impacted (<0.25), moderately impacted (0.26–0.51), highly impacted (0.52–0.77), and strongly impacted (0.78–1.05; Fig. 7). The flow regime
in Grundfors (most upstream site) was least impacted for any of the features analyzed. It was followed by Rusfors, whose short-term flow regime was moderately impacted in terms of frequencies and durations, but strongly impacted in terms of magnitudes and rates. The short-term flow regimes of remaining sites were strongly impacted for magnitudes and rates, relatively highly impacted for frequencies, and moderately for durations (Fig. 7). Finally, the seasonality of the hydropoaking impact was corroborated by the most comprehensive classification of the sites, i.e., the hierarchical classification. Between three and five groups resulted from the dendrograms (distance 4) according to the type, intensity and sign of the impact on each season’s short-term flow regime (Table 3; Supplementary information S7). This classification showed that, except for the frequency related metrics, the impact strongly varied with season (Table 3). In general, from the lowest to the strongest impact, groups based on the impact on frequencies distinguished between sites regardless of the season, i.e., Grundfors, Rusfors, and the remaining downstream sites. Groups based on the impact on magnitudes and on all features together distinguished between seasons regardless of the sites, i.e., in general, the short-term flows from any site in winter, autumn, spring and summer. Finally, groups based on the impact on durations combined sites and seasons (Table 3; Supplementary information S7).

4. Discussion

Whereas many studies up to date have dealt with seasonal and annual flow patterns (Clausen and Biggs, 2000; Harris et al. 2000; Black et al. 2005; Moliere et al. 2009; Bejarano et al. 2010; Kennard et al. 2010; McManamay et al. 2012), few methods adopt data at such a high resolution that is necessary for understanding the within-day hydrograph. Despite short-term flow analysis being viable with shorter hydrological series than those typically needed to assess seasonal or annual flow patterns (Bevelhimer et al. 2015), the limited availability of hourly and sub-hourly stream flow records and the laborious processing required by such large volume of data have been a handicap for studies at finer resolutions. Moreover, although government agencies from several countries and hydropower companies are recently making their instantaneous flow records available [e.g., the USGS Current Water Data for the Nation (EEUU); the Swedish Meteorological and Hydrological Institute and some Swedish hydropower companies; the Norwegian Water Resources and Energy Directorate; the Rete di Monitoraggio in Tempo Reale dell’Ufficio Dighe (Italy); the Federal Office for the Environment (BAFU; Switzerland), among others], there is still a lack of tools supporting their treatment (but see, for example, Sauterleute and Charmasson 2014; Haas et al. 2014). For our purpose, the Vindel and Ume rivers in northern Sweden were excellent study areas. The long series of sub-daily flow records available provided a wide range of scenarios of short-term flow patterns, as they came from free-flowing sites (the Vindel River) and from sites used for hydropower production (the Ume River). Furthermore, as both rivers originally had similar hydrological and physiographical characteristics, the space-by-time method is viable for alteration assessment of the Ume River sites, using the Vindel River as reference. In fact, this pair of rivers has been successfully used in several
previous comparative studies (Nilsson et al., 1991; Merritt et al., 2010). The data treatment tool we developed also speeded up metric calculations. Nevertheless, while short-term flows are usually recorded at hydropower plants, we are aware that such recorded data from comparable pristine conditions are not commonly found elsewhere. In these cases, applying the approach would involve the substitution of the natural short-term flow regime at the plant location, or the generation of flows at the desired short-term resolution for a long period based on commonly available daily flows (recorded or modeled) and assuming similar within-day flow variability than the one from, at least, a representative year of recorded data. Minute- or hourly-flows and levels may be measured relatively cheap and easy using pressure-transducer loggers.

One of the common examples of short-term flow regime alterations is hydropower production through peaking plants. The recent increase in hydropower production worldwide has triggered an interest in short-term flow regimes, as these are highly affected by hydropoeaking and in recognition of their influence on fluvial ecosystems. However, many studies still have a narrow scope dealing with a small and biased group of metrics (Meile et al., 2011; Zimmerman et al., 2010; Caroli et al., 2015), as long as they are essential for a target species, usually fish (Halleraker et al., 2003), or include larger but still insufficient numbers to account for every ecologically relevant aspect of the sub-daily flow regime (Saltveit et al., 2001; Scruton et al., 2005, and Scruton et al., 2008), increase riparian plant seedling mortality (Stella et al., 2010) and slow down their growth (Amlin and Rood, 2002), and favor sandbar erosion ultimately impacting biological communities because of habitat loss (Alvarez and Schmeeckle, 2013). In addition, high daily flow fluctuations were shown to decrease adult fish size (Dibble et al., 2015), whereas increases in frequency, duration and depth of daily inundations resulted in a reduction of diversity of plant communities by hindering seed germination (Sarneel et al., 2014) and seedling growth (Baldwin et al., 2001). The magnitude and duration of water releases from the power plant also caused considerable losses from benthic populations to drift (Bruno et al., 2010) (See literature reviewed in Table 2). Consequently, all devised metrics are worth keeping as long as they all have ecological importance. Given that our proposed methodology may be potentially used in any context of short-term flow regime characterization and impact assessment, even metrics that hardly differed between our testing sites might be significantly altered in other cases.

The productivity limitations of hydropower plants (in accordance with, e.g., the characteristics of the turbines, dam height or reservoir storage capacity), together with the variable demand for hydroelectricity (in accordance with, e.g., the availability of other energy sources and with daytime and season) (source: U.S. Energy Information Administration; http://www.eia.gov/) result into highly variable operation schemes (i.e., forms of hydropoeaking), involving different intensities of production and frequencies and durations of periods of production over time. Furthermore,
temporal patterns in power plant operation are superimposed on
the natural temporal variability exhibited by the flow regimes of
some river types. Consequently, similar operating rules may result
in different impacts on flows depending on, e.g., daytime, weekday
or season. Therefore, an added value of our devised metrics is that
they are able to discern not only which flow features, but also to
what degree, how and when they are altered, being able to accu-
rately characterize and evaluate any form of hydropeaking. Budget
constraints may lead us to focus mitigation measures on a single,
the most affected flow attribute. Alternatively, one may be only
interested in restoring a flow attribute because it is key for a target
species which is particularly sensitive at a specific moment of its
life cycle. Therefore, consideration of impact type, intensity, sign
and timing helps targeting of management, making it more feasible
and cost-effective. For example, operational mitigation measures
involving flow management to ensure proper growth of riparian
seedlings should be focused on decreasing flooding duration early
in the growing season where this attribute is particularly altered
(Gorla et al., 2015). This would be the case of our studied upstream
regulated sites, where hydropeaking leads to slightly longer
within-day periods of high flows. Other examples involve defining
mitigation measures to impede fish stranding, which has been
widely documented as the main reason for fish mortality along
reaches affected by hydropeaking (see reviews by Nagodski
et al., 2012 and Irvine et al., 2015). As observed in many studies
(Saltveit et al., 2001; Scruton et al., 2005, 2008), the response of
fish to remain relatively sedentary in winter under varying flows,
may increase the likelihood for dewatering, stranding and freezing
leading to higher mortality. In winter, energy reserves are depleted
and any activity and/or stress related to hydropeaking may poten-
tially affect production and survival. River morphology also plays a
relevant role as stranding risk has been recently reported higher
along braided compared to single-thread rivers (Vanzo et al.,
2015). Mitigation proposals to favor fish populations in the studied

Fig. 7. Ranking of the hydropeaking sites based on (a) the intensity of the impact on all, or (b) on each of the aspects of the flow regime (b: frequency; c: duration; d:
magnitude and rate related metrics). The data shown on the maps are averages of the absolute values for the annually averaged STIM. Colors represent different impact
intensities: grey (=0), blue (<0.25), yellow (0.26–0.51), orange (0.52–0.77), and red (0.78–1.05). Sites can be consulted in Fig. 1.
reach from the Ume River should involve decreasing numbers of fall events and flow fall rates during winter mainly along the more morphologically complex downstream sites. Magnitude alterations of sub-daily flow events may be important for sessile species, such as plants or macroinvertebrates. Riparian species naturally growing along the upper riparian zone experience sporadic flooding, whereas aquatic and amphibious plants growing further down in the riparian zone face almost constant inundation (Colmer and Voesenek, 2009). This effect is particularly strong in the down-stream riparian species naturally growing along the upper riparian zone experience sporadic flooding, whereas aquatic and amphibious plants growing further down in the riparian zone face almost constant inundation (Colmer and Voesenek, 2009). This effect is particularly strong in the down-stream riparian zone. Management groups of sites, however, would be others if certain flow features were the objective of the management action. The goal of the approach is to be useful for the sustainable water management planning, which must consider flow variability at time scales from minutes to decades, as time is widely recognized as the fourth dimension influencing fluvial ecosystems (Ward, 1989; Poff et al., 1997; Biggs et al., 2005). It may be particularly helpful in river basins used for hydropower production. Studies like this can inform decision making on restoring river reaches affected by hydropoeaking, expose aquatic and riparian-terrestrial plants to frequent variation between drainage and flooding, which impacts riparian areas (Havens et al., 2004; Bailey-Serres and Voesenek, 2008). This effect is particularly strong in the downstream sites of our study, where measures aimed at narrowing within-day flow ranges are expected to benefit riparian ecosystems.

Existing approaches aimed at evaluating hydropoeaking impacts on sub-daily flow regimes only consider a few metrics (Caroll et al., 2015). Others were primarily developed for characterization of short-term flows, and although they might be suitable also for impact assessment, this is not straightforward (Zimmerman et al., 2010; Meile et al., 2011). More comprehensive approaches such as those of Sauterleute and Charmasson (2014) and Chen et al. (2015) are well suited to describe short-term flow regimes affected by hydropoeaking, but they are inadequate for detecting alterations. Furthermore, neither of these methods can quantify the various temporal aspects of variability. Only the Wavelet Transform approach is applicable to assess alterations with time, but emphasizes the identification of impacted time scales (White et al., 2005). Our proposed approach overcomes these drawbacks by characterizing both free-flowing and perturbed sub-daily flows and assessing their impact with time, through several ecologically meaningful metrics. In addition, metrics may be easily transformed to stage when the input is a stage series recorded every hour or less. This is interesting when investigating the effects of hydropoeaking on riparian ecosystems, given that plants are sessile organisms fully dependent on water-level fluctuations. Finally, proposed classifications help identify sites which have the same short-term flow regime alterations and, consequently, similar management might be recommended for the entire group. Accordingly to our results from the most comprehensive site classification, in general, operational mitigation measures could be similar for all power plants downstream of Bälfsöns only differing depending on the season, but should be specifically adapted to each of the two remaining upstream plants (i.e., Grundfors and Rusfors) being the same during the whole year. Management groups of sites, however, would be others if certain flow features were the objective of the management action. The goal of the approach is to be useful for the sustainable water management planning, which must consider flow variability at time scales from minutes to decades, as time is widely recognized as the fourth dimension influencing fluvial ecosystems (Ward, 1989; Poff et al., 1997; Biggs et al., 2005). It may be particularly helpful in river basins used for hydropower production. Studies like this can inform decision making on restoring river reaches affected by hydropoeaking, expose aquatic and riparian-terrestrial plants to frequent variation between drainage and flooding, which impacts riparian areas (Havens et al., 2004; Bailey-Serres and Voesenek, 2008). This effect is particularly strong in the downstream sites of our study, where measures aimed at narrowing within-day flow ranges are expected to benefit riparian ecosystems.

5. Conclusions

The approach presented in this article, which encompasses devising new characterization metrics and the adaptation of evaluation and classification methods standardly used in ecology, represents a breakthrough in the still poorly studied field of short-term flow. It has been conceived to support the sustainable water
management in rivers subjected to hydropower production, and in general in rivers whose sub-daily flows are altered by any other cause. It may also help directing measures of fluvial restoration to particularly impacted river reaches, flow features and seasons. It could also serve public authorities during flow regulation licensing or evaluating processes of new projects. Site-specificity of water-stage results in complex relationships between this variable and hydropoeaking. Therefore, future research should address characterization, impact assessment and classification of short-term water-level regimes, which are key when analyzing potential impacts of hydropower production on riparian zones. In addition, our approach should be extended to other river reaches worldwide, as different operating schemes on different river types may lead to different impact ranges from those obtained here. High impacts in snowmelt-fed rivers are due to the natural stability of stream flows, but we might expect much lower impacts in, e.g., Mediterranean rivers given their great dynamics even under natural conditions (Gasith and Resh, 1999). A global application would also generate a global database of sub-daily flow-regime types and impacts providing objective information necessary for categorization of river reaches worldwide. Finally, ecological implications of described sub-daily flow changes still need to be sorted out (Poff et al., 2010).

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Appendix A. Supplementary data

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