

# Engineering plants against pathogens: A general strategy

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## Abstract

A general strategy to identify defense proteins and genes and to use them in transgenic plants to engineer enhanced resistance against pathogens is illustrated with specific experimental examples. A combinatorial model for the expression of defense genes in response to different pathogens is discussed.

*Keywords:* Defence proteins; *Hordeum vulgare*; Plant diseases; Resistance; disease; Transgenic plants

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## 1. Introduction

Plants often accumulate high levels of proteins that are either toxic or inhibitory towards heterologous systems, including pathogens, pests and grazers. This is the case of reserve tissues, where a substantial fraction of the non-storage proteins is represented by different families of defense polypeptides (for reviews, see García-Olmedo et al., 1989, 1992). The same types of proteins are often present in other tissues, either under normal development or under different stresses, including infection by pathogens.

A general strategy has been followed to identify putative defense proteins and to use their genes to engineer plants against pathogens. This strategy involves the following steps: (i) A systematic purification of cell-wall and vacuolar proteins from different taxa and their screening for antimicrobial properties. (ii) Investigation of *in vitro* activity against pathogens, including complementation and syner-

gism among different defense proteins. (iii) Determination of total or partial amino acid sequences of selected proteins. (iv) Cloning of cDNAs and genomic DNAs encoding these proteins. (v) Study of the expression of these defense genes under normal developmental conditions and under biotic and abiotic stresses. (vi) Transgenic expression of the selected defense genes under appropriate promoters and characterization of the corresponding proteins in the transgenic plants. (vii) Testing of phenotypes with enhanced resistance.

## 2. Defense proteins

In the course of screening different plant species, including wheat, barley, maize, spinach and *Arabidopsis*, six different families of putative defense proteins have been identified. Two of these, the thionins (THs) and the so-called lipid transfer proteins (LTPs), will be taken as examples to illustrate some of the results of the above approach. Thionins are cysteine-rich polypeptides of about 5 kDa that

have been found in a variety of tissues from different taxa (see García-Olmedo et al., 1989, 1992). Based on their similarity, known sequences can be grouped into at least 5 clusters or types (I–V), three of which (I, II, V) can be found in cereals such as wheat or barley. The first type, which corresponds to the original purothionins from wheat endosperm (Balls et al., 1942), is 45 residues long, highly basic, with no acid residues, and has four disulfide bridges. There are one or two genes of type I per haploid genome in group-1 chromosomes of wheat and barley genomes. These genes encode precursor proteins in which the sequence of the mature protein is preceded by that of a signal peptide that is co-translationally processed and followed by that of a C-terminal, acidic peptide that undergoes a post-translational excision (Ponz et al., 1983; García-Olmedo et al., 1992). Type-II thionins are less basic, with some acidic residues, and have an extra amino acid located in the central loop, as well as four disulfide bridges (Bohlmann and Apel, 1987; Gausing, 1987). Genes of this type, which seem to be present in 10–100 copies per haploid genome, have been located in chromosome 6H of barley and encode precursor proteins with the same characteristics as those of type I (Bohlmann and Apel, 1987; Gausing, 1987; Bohlmann et al., 1988). Types III and IV respectively correspond to the viscotoxins and the crambins (for reviews, see García-Olmedo et al., 1989, 1992). Type-III thionins have been described in the mistletoes and type IV in the abyssinian cabbage. Type-V thionins are 37 residues long and have three disulfide bridges, two basic and two acidic residues, and a similar precursor structure as the previous types (Castagnaro et al., 1992). Genes of type V, one copy per haploid genome, have been located within a few kb of type-I genes in group-1 chromosomes in the wheat genomes (Castagnaro et al., 1992).

Members of the LTP family have been characterized in barley aleurone (Mundy and Rogers, 1986) and in green tissues, where we have recently reported a distinct subfamily of at least four members, which are structurally closer to LTPs isolated from other plant species than to the aleurone type (Molina and García-Olmedo, 1991, 1993; Molina et al., 1993). Genes for these LTPs are located in chromosomes 3H (*Ltp2*, encoding protein Cw18; *Ltp4*, Cw21) and 7H (*Ltp3*, Cw20; Molina and García-Olmedo, 1993).

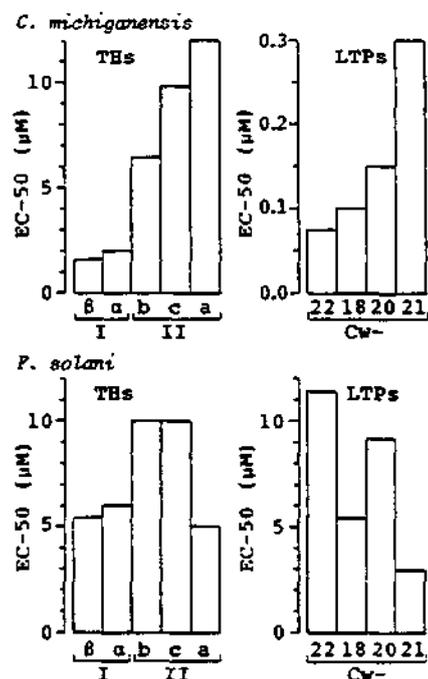


Fig. 1. Growth inhibition of the bacterium *Clavibacter michiganensis* and the fungus *Fusarium solani* by TH and LTP variants from barley. Effective dose causing 50% inhibition is represented in each case.

Proteins from the same family have been purified from *Arabidopsis* and from spinach (Segura et al., 1993).

### 3. Activity against pathogens in vitro

Both THs and LTPs are inhibitory and biocidal (at higher concentrations) towards bacterial and fungal pathogens. It has been shown that thionins are able to alter membrane permeability, indirectly inhibiting macromolecular biosynthesis (Carrasco et al., 1981), and to directly inhibit certain enzymes (Diaz et al., 1992; Piñeiro et al., 1994), while the mechanism of action of LTPs remains unknown. As illustrated in Fig. 1, different THs of types I and II have different activities towards a given pathogen, and the same is true for the barley LTP variants tested, but the relative ranking of the variants changes with the pathogen (i.e. *Clavibacter michiganensis* versus *Fusarium solani*).

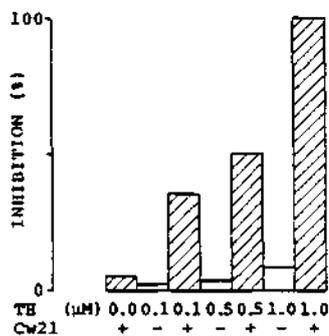


Fig. 2. Synergism of THs (type I) and LTPs (Cw21; 1  $\mu$ M) against *Fusarium solani* in vitro.

Data in Fig. 1 also reveal that while LTPs are about 20-fold more active than THs against the bacterial pathogen, they are somewhat less active than THs against the fungal one, indicating that the two types of proteins could complement each other in vivo to form a general barrier against pathogens. Furthermore, the two types of proteins can act additively against the bacterium (not shown) and synergistically against the fungus (Fig. 2). *Complementation*, as well as *additive* and *synergic* effects, might be relevant to explain interactions in vivo.

Intraspecific variability of pathogen susceptibility to different defense proteins, for which there is some preliminary evidence, and the induction of resistance – including cross resistance – are being actively investigated, both to improve our understanding of compatible/incompatible interactions and because of the relevance of these properties when engineering plants for enhanced resistance.

Table 1  
Expression patterns <sup>a</sup> of *Ltp* and *Th* genes in barley

Tissue	Age	Genes			
		<i>Ltp2</i>	<i>Ltp3</i>	<i>Ltp4</i>	<i>Th</i>
Root	young	(+)	(+)	(+)	(+)
Leaf	dark young	++	++	++	+++++
	light young	++	++	+++	+
	old	+	+	(+)	(+)
Shoot apex		+	++	+	+++
Stem	young	+++	+++	+++++	+
	old	+	+	+	(+)
Spike	palea-lemma	(+)	++	+	(+)
	grain coats	(+)	+++	++	-
	rachis	(+)	++	+	(+)
	endosperm	-	-	-	-

<sup>a</sup> After overnight exposure; parentheses indicate 5-d exposure.

#### 4. Expression of defense genes

Expression patterns of three LTPs and of type-II THs are summarized in Table 1. All tissues tested, except endosperm, expressed the three LTPs investigated, and sequence-specific probes revealed quantitative differences in the expression levels of the three genes. The probe used for the THs (type-II-specific) detected high levels of mRNA in etiolated leaves and lower levels in other tissues. Thionins of types I and V were restricted to endosperm (not shown). The LTPs described here are located in vascular tissues and in the outer cell layer of the exposed surfaces of the plant, and can be eluted from them with high-salt buffer.

Young barley plants were exposed to different physical stresses and treated with different plant hormones and agrichemicals as summarized in Table 2. Steady-state mRNA levels of *Ltp* and *Th* genes were little affected by the physical treatments, except for moderate responses to salinity of two of the *Ltp* genes and the response to drought of *Th* genes. Abscisic acid increased the expression of both types of genes, and methyl jasmonate switched off *Ltp* genes, while markedly increasing the expression of *Th* genes. All other treatments had no detectable effects.

The current status of the analysis of the expression of these genes in response to pathogens is summarized in Table 3. Responses of the pathogenesis-related gene *PrHv1* from barley (probe gift of W. Knogge, see Hahn et al., 1993) are also indicated.

**Table 2**  
Responses<sup>a</sup> of *Ltp* and *Th* genes to abiotic stimuli

Stimuli (conditions)	Genes			
	<i>Ltp2</i>	<i>Ltp3</i>	<i>Ltp4</i>	<i>Th</i>
Salinity (0.34 M NaCl, 1 d)	2	-	2	-
Cold (4°C, 7 d)	-	-	-	-
Drought (overnight)	-	-	-	4
Wounding (1 d)	-	-	-	-
Methyl jasmonate (10 µM, 1 d)	0.05	0.06	0.12	20
Abscisic acid (0.1 mM, 1 d)	5	2	3	3
Ethylene (100 ppm, 2 d)	-	-	-	-
Ethephon (20 mM, 4 d)	-	-	-	-
Salicylate (1 mM, 3 d)	-	-	-	-
Isonicotinic acid (3000 ppm, 1 d)	-	-	-	2

<sup>a</sup> Level of mRNA compared with normal (fold); -, no effect.

Different combinations of the genes investigated were affected in the different plant/pathogen interactions, and the extent and time-courses of the observed effects were also different. Thus, in the infection by *Erysiphe graminis*, both LTPs and THs were synchronously affected within the first few hours, before the progress of the compatible and the incompatible interactions can be distinguished microscopically from each other, according to Boyd (1993), which implies either that the response of these genes is irrelevant (*gratuitous*) in this particular interaction or that sensitivity to the gene products is higher in the avirulent strain. In the infection with *Rhyn-*

**Table 3**  
Responses<sup>a</sup> of *Ltps* and *Th* genes to pathogens

Pathogen/cultivar	C/I <sup>b</sup>	Genes				
		<i>Ltp2</i>	<i>Ltp3</i>	<i>Ltp4</i>	<i>Th</i>	<i>PrHv1</i>
<i>E. graminis</i> <sup>c</sup>						
(Av6)/Pallas	I	3	3	9	3	6
(vir6)/Pallas	C	3	3	8	3	6
<i>R. secalis</i> <sup>d</sup>						
/Atlas46	I	3	-	10	4	10
/Atlas	C	-	-	-	4	-
/Turk	I	4	-	16	-	20
/Hannchen	C	-	-	-	-	-
<i>P. syringae</i>						
(153)/Bomi	I	-	-	-	-	-
(DC3000)/Bomi	C	-	-	-	5	4

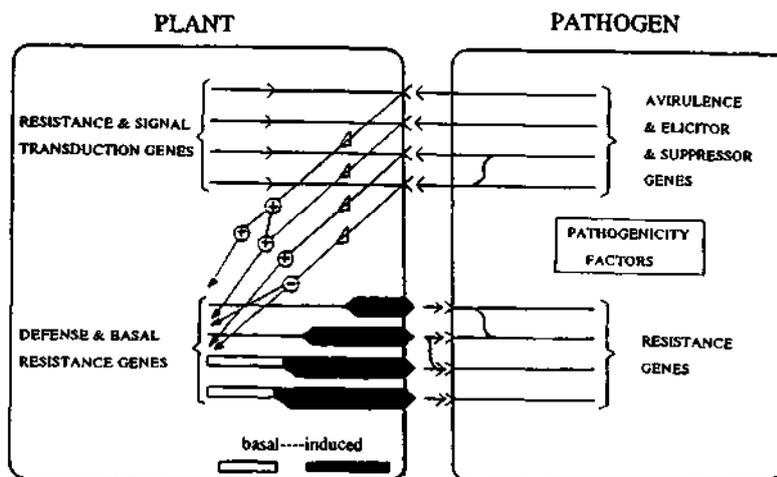
<sup>a</sup> Level of mRNA compared with normal (fold).

<sup>b</sup> C/I, compatible/incompatible.

<sup>c</sup> Filters from L. Boyd (Norwich) and <sup>d</sup> W. Knogge (Cologne).

*chosporium secalis*, LTPs were rapidly affected and THs lagged behind by 2 days and the response of the *Ltp* genes was much greater in the incompatible interaction. In the case of *Pseudomonas syringae*, no hypersensitive reaction was detected in the incompatible interaction and none of the genes investigated was expressed above basal levels. This *basal resistance* was likely due to constitutive levels of defense proteins active against the pathogen.

Recent evidence from our laboratory (Moreno et



**Fig. 3.** Schematic representation of a model for the activation/inactivation of defense genes. Multiple signal transduction pathways, which lead to increases (+) or decreases (-) of gene expression, are represented.

al., 1994) and from others (Kunoh, 1987; Jacobek et al., 1993) indicates that both bacteria and fungi may turn off basal expression levels of certain defense genes, a mechanism by which basal resistance can be evaded by the pathogen.

### 5. A combinatorial model of defense responses

The above observations suggest a number of features related to defense proteins that can be incorporated to current models of plant/pathogen interactions (Fig. 3). These can be summarized as follows: (a) Different defense genes can be activated through totally or partially independent pathways and/or receptors, a combination of which is activated by a given pathogen in the same or in different sets of plant cells. (b) Defense genes which are expressed at a basal level under normal development can be turned off by pathogen signals of suppression. This mechanism might be partially responsible for the combinatorial response. (c) The elicited response might be *gratuitous* if the elicited proteins are not inhibitory (individually or in combination) against a particular pathogen, which is nevertheless sensed by the plant. (d) A compatible interaction might result not only from failure of the plant to recognize a particular pathogenic strain, and to activate the appropriate defense gene(s), but also from the ability of the specific strain to resist inhibitor(s) to which other strains are susceptible. (e) Basal levels of defense proteins (for which additional roles in development are not excluded) are often above  $1 \times 10^{-5}$  moles/kg fresh weight, concentrations that should be sufficient to inhibit growth of many pathogens by a mechanism that does not imply a hypersensitive reaction. (f) The same defense proteins might be involved in all the different situations described above (b–e).

### 6. Enhanced resistance in transgenic plants

We have expressed different combinations of defense genes under various promoters and, as exemplified in a recent publication concerning the transgenic expression in tobacco of a type-I thionin gene from barley (Carmona et al., 1993), we have shown

that enhanced resistance can be achieved through the expression of alien defense genes in new genetic backgrounds which previously lacked equivalent genes.

### 7. Outlook for the proposed strategy

Results summarized in this communication attest the feasibility of the proposed experimental strategy not only to identify novel classes of defense proteins and genes, but also to obtain transgenic plants with enhanced resistance. This last aspect not only contributes to the corroboration of a defense role for a particular protein, but is the basis for practical applications.

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