

# Aeroelastic analysis using confocal sensors: experimental study and numerical validation with application to a future particle detector

Aitor Amatriain<sup>\*a,b</sup>, Massimo Angeletti<sup>a</sup>, Corrado Gargiulo<sup>a</sup>, Gonzalo Rubio<sup>b,c</sup>

<sup>a</sup>*ALICE Collaboration, CERN, Geneva 23, 1211, Switzerland*

<sup>b</sup>*E.T.S. Ingeniería Aeronáutica y del Espacio, Universidad Politécnica de Madrid, Plaza Cardenal Cisneros 3, 28040, Madrid, Spain*

<sup>c</sup>*Center for Computational Simulation, Universidad Politécnica de Madrid, Campus de Montegancedo, Boadilla del Monte, 28660, Madrid, Spain*

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## Abstract

This study investigates the structural displacements induced by aerodynamic loads in a future particle detector at the Large Hadron Collider (LHC): the Inner Tracking System 3 (ITS3) of the ALICE experiment. The development of an ultralight structure and the air cooling lead to an unprecedentedly low material budget, resulting in improved accuracy compared to the current detector (ITS2). The airflow applied to the low-mass structure of the ITS3 is expected to cause vibrations, which requires an aeroelastic analysis that is performed using experimental and numerical methods. A novel experimental approach is proposed using confocal chromatic sensors to measure the structural displacements of an ITS3 prototype with submicron accuracy. A simplified mathematical model is developed for the fluid-structure interaction. The results obtained in the experimental setup show that the numerical model predicts the primary peaks in the spectrum of structural displacements induced by airflow. The validated model is used to analyze both the real anticipated configuration of the future ITS3 setup and potential modifications arising from changes in detector cooling and installation requirements.

*Keywords:*

Large Hadron Collider, ALICE experiment, Air cooling, Fluid-structure interaction

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## 1. Introduction

Vibration control is a key in industrial applications to avoid failure of mechanical components. Relevant sources of vibration include pressure fluctuations coming from the environment [1], mass imbalances [2], irregular mechanical contacts [3], and moving parts [4]. Different solutions are frequently explored, namely the improvement of structural stiffness [5], or the addition of vibration absorbers that increase the damping of systems [6]. These solutions have limitations when applied to a wide range of frequencies and external loads, which motivate the development of variable stiffness devices [7, 8]. A complex discipline in the study of vibrations is

9 aeroelasticity, which consists of the coupling between aerodynamic loads and structural dynam-  
 10 ics. Aeroelastic problems are present in multiple engineering applications, namely aircraft wings  
 11 [9], turbomachinery [10], and civil structures [11]. The prediction of the structural response is  
 12 required in these applications, as positive feedback between structure motion and external forces  
 13 can cause problems due to fatigue or large displacements [12]. For example, aeroelasticity is  
 14 an important part of aircraft design, given that aeroelastic instabilities such as divergence [13],  
 15 flutter [14], and buffet [15] can lead to structural failure at different levels of importance.

16 The Large Hadron Collider (LHC) is the world’s largest and highest-energy particle collider,  
 17 and vibration studies are required in multiple parts of the infrastructure. The magnetic fields  
 18 generated by magnets are measured using a rotating coil system that induces vibrations [16].  
 19 Future measurement needs will require an increase in the speed of the rotating components,  
 20 which will imply an increase in vibration amplitudes [17]. The installation of new equipment  
 21 during the LHC operation may affect the quality of the measurements for similar reasons [18].  
 22 Other sources of noise during LHC operation are earth movements and humans, both commonly  
 23 referred to as cultural noise [19]. Since not all components of large experiments are built at  
 24 CERN, vibrations due to transport loads are also an important concern [20, 21].

25 ALICE (A Large Ion Collider Experiment) is one of the four large experiments at the LHC.  
 26 The Inner Tracking System (ITS) is the closest detector to the beam pipe, where particle  
 27 collisions are produced. During the Long Shutdown 3 (2026-2030), the innermost part (Inner  
 28 Barrel, IB) of the current system (Inner Tracking System 2, ITS2) will be replaced by the  
 29 Inner Tracking System 3 (ITS3). The ITS3 IB will consist of two halves, named half-barrels,  
 30 to allow the detector to be mounted around the beam pipe (see Fig. 1a). Each half-barrel will  
 31 incorporate three layers made of a single large silicon pixel chip of length 280 mm, thickness of  
 32 40  $\mu\text{m}$ , and radii 18-24-30 mm, which will be bent in a half-cylindrical shape (see Fig. 1b).

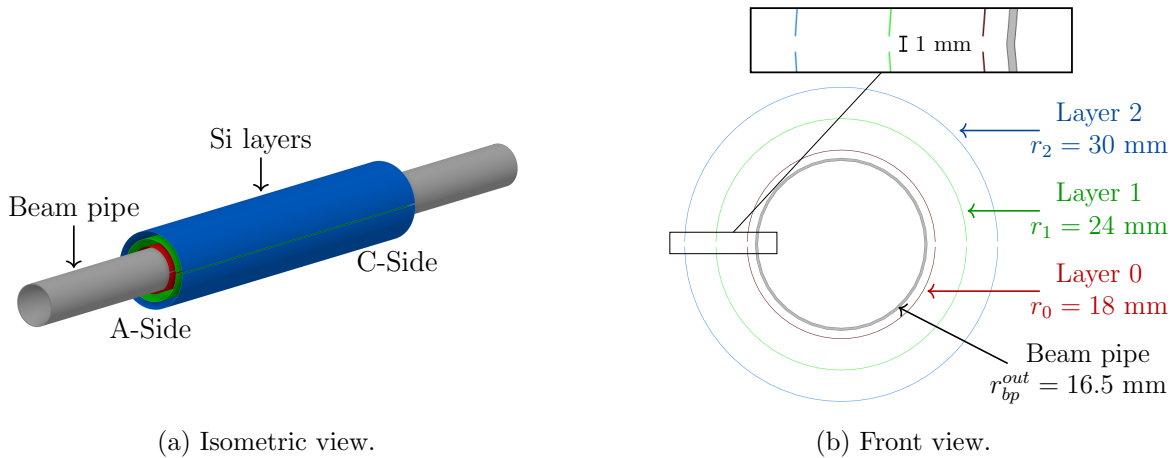


Fig. 1: Inner barrel of the ALICE ITS3.

33 The ALICE ITS3 will be the first particle detector at CERN to use a cooling system based

34 on forced air convection. The heat dissipation of the silicon sensors will be non-uniform, with  
 35 the highest heat dissipation near the A-Side (see Fig. 2a). The proposed design considers the  
 36 use of thermally-conductive open-cell carbon foam rings (K9 foam) in that zone, in contact  
 37 with the silicon sensors. These foams act as heat exchangers, so heat is transferred from the  
 38 sensors to the foams by conduction, and then it is removed from the foams by convection to  
 39 the air. The A-Side rings are insufficient to keep the silicon sensors in cylindrical shape, and  
 40 the design includes additional foam parts made of lighter and less conductive foam (RVC foam)  
 41 as mechanical supports. Due to the complexity of the installation of the ITS3 in the ALICE  
 42 cavern, the system is not expected to be completely isolated; therefore, pressure drop should  
 43 be minimized to reduce the leak rate. To this end, holes are drilled into the foams. This  
 44 design will result in a material budget value per layer equal to  $X/X_0 \approx 0.06\%$ , which is the  
 45 lowest value ever achieved in a HEP particle detector, with a reduction of 80% with respect to  
 46 the ITS2 ( $X/X_0 \approx 0.28\%$ ). Minimizing the value of the material budget is crucial, since the  
 47 overall performance of a particle detector improves because the uncertainty of the measurements  
 48 of particle momenta is reduced [22]. Additional details about the foam characterization are  
 49 available in [23], while the cooling system design process and thermal analysis can be found  
 50 in [24]. The cooling system of the ALICE ITS3 must ensure that not only the silicon layers  
 51 do not break, but that the displacements are lower than the accuracy of the silicon sensors,  
 52 which is between 4 and 6  $\mu\text{m}$ . Thus, an aeroelastic study is needed to predict the effects of  
 53 the airflow on the mechanical behavior of the silicon layers, which requires the development of  
 54 a mathematical model and an experimental prototype. Following a conservative approach, the  
 55 target of the maximum displacement to the equilibrium position is set to 1  $\mu\text{m}$ .

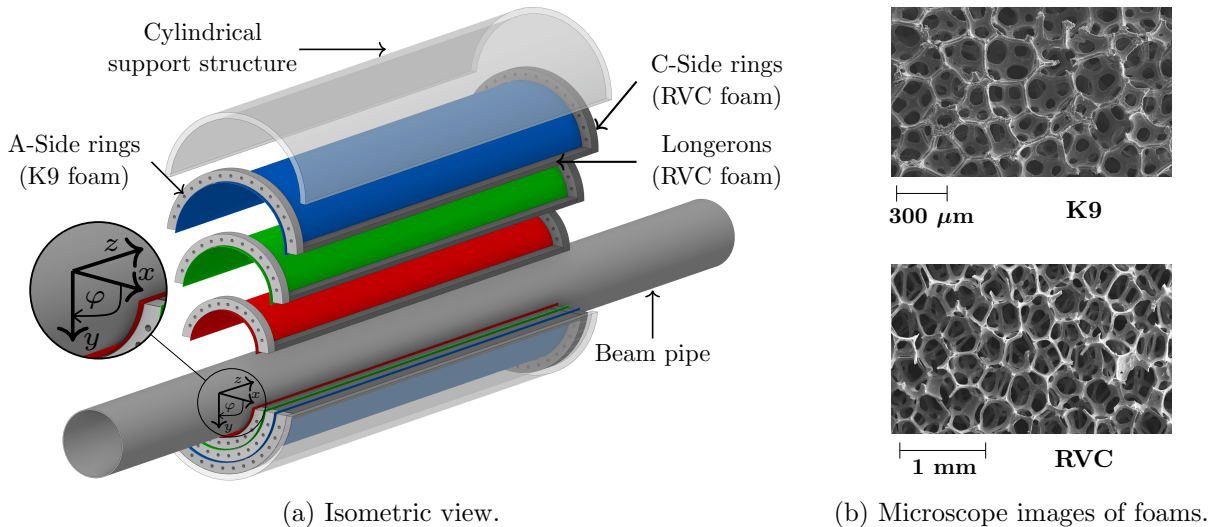


Fig. 2: Foam structures of the inner barrel of the ALICE ITS3.

56 Numerical simulations of the Fluid-Structure Interaction (FSI) require model selection for

57 fluid and structural equations, as well as the definition of the coupling strategy. The universal  
58 approach to solving the structural problem consists of considering a spring-damper-mass system  
59 of equations, and the coefficients are built based on material stiffness, mass, and damping prop-  
60 erties [25, 26]. Simplified geometries and methods for structural analysis allow the reduction of  
61 the computational cost, in particular in complex materials such as composites [27, 28, 29, 30].  
62 The complexity of the fluid problem is higher, due to the disparity between the small and large  
63 scales of fluid flows. In computational fluid dynamics, different equations are solved depending  
64 on mesh and accuracy requirements. Direct Numerical Simulations (DNS) directly solve the  
65 Navier Stokes equations, which results in the lowest modeling error [31]. However, for moderate  
66 to high Reynolds numbers, the computational cost associated with these simulations is beyond  
67 the capabilities of current computing power within the industrial framework. Large Eddy Sim-  
68 ulations (LES) reduce the computational cost by approximately one order of magnitude, which  
69 is still limiting for industrial cases, although recent investigations show promising results using  
70 the latest advances in numerical methods [32]. In Reynolds-Averaged-Navier Stokes (RANS)  
71 models, the computational cost is several orders of magnitude lower than in LES, and it does not  
72 depend on the Reynolds number. RANS models are interesting alternatives in highly unstable  
73 flows or when the mean flow quantities are responsible for structural deformations. However,  
74 the required meshes are still too fine for practical applications, and large errors have been found  
75 for non-optimal meshes [33]. Hybrid RANS/LES models take the advantages of RANS and  
76 LES and provide the optimum balance between computational cost and accuracy [34], although  
77 current studies at high Reynolds numbers are limited to coarse meshes [35]. Thus, baseline  
78 tools in the aeronautical industry, where aeroelastic studies are common, comprise only struc-  
79 tural simulations with aerodynamic forces computed from simplified models such as the Blade  
80 Element Momentum Theory (BEMT, [36]), Free Vortex Methods (FVM, [37]), and Theodorsen  
81 functions [38].

82 In fluid simulations, equations are usually solved in Eulerian coordinates, while a Lagrangian  
83 description is the standard approach for structural simulations. This leads to numerical chal-  
84 lenges to solve the resulting system of equations, in which the coupling between the equations of  
85 fluid and structural problems plays an important role. The most popular coupling techniques are  
86 monolithic and partitioned. In the monolithic case, fluid and structural domains are treated as  
87 a single continuum, and all equations are solved simultaneously [39, 40]. In partitioned solvers,  
88 the fluid and structural equations are solved separately, and the coupling is achieved through  
89 an iterative procedure [41, 42]. Monolithic methods are more stable, although at the cost of  
90 increased complexity in implementation and computational cost [43]. In monolithic methods,  
91 different solutions exist to deal with the difference on the formulations of fluid and structural  
92 equations, ranging from fully Eulerian [44] to fully Lagrangian [45]. Arbitrary Lagrangian-  
93 Eulerian (ALE) methods are intermediate options in which structural equations are solved in

94 Lagrangian coordinates, and fluid equations are solved in an artificial coordinate system [46].  
95 Computational cost savings can also be obtained with the Immersed Boundary Methods (IBM),  
96 although their applicability for flows at high Reynolds numbers is limited due to their diffuse na-  
97 ture [47]. The accuracy and stability of the simulations are strongly influenced by the quality of  
98 the dynamic meshing approach used, especially when large displacements are considered [48, 49].  
99 Even if there are some cases in which the computational cost of FSI simulations is affordable  
100 using reduced-size meshes, such as in medical applications [50, 51], the high computational cost  
101 of FSI problems usually motivates the decoupling of structural and fluid simulations in the par-  
102 titioned approach. Loosely-coupled (or explicit) FSI simulations, in which only one iteration is  
103 performed at every time step, are an alternative to coupled FSI that provide successful results  
104 in particular cases without important nonlinearities [52, 53]. Structural and fluid problems are  
105 solved separately in decoupled approaches, which provide a reasonable result for preliminary  
106 studies and/or when structural displacements are small [54, 55]. Given the computing power  
107 limitations in the industry and the complexity of FSI simulations, experimental works are also  
108 extensive in aeroelasticity, especially in the study of wings [56, 57, 58, 59].

109 In the ALICE ITS3, the Reynolds number of the airflow (based on the channel height  
110  $h = 6$  mm) to meet the thermal requirements is  $Re_h \approx 3000$ . Assuming that circular pipe  
111 flow regimes are applicable, the fully developed channel flow is transitional, while the holes of  
112 the foams generate a turbulent free shear flow [24]. To study the vibrations generated by the  
113 airflow, the application of simplified models such as those mentioned in the previous paragraph  
114 is discarded, since they have been developed for wing profiles. On the other hand, RANS  
115 turbulence models are unsuitable for free shear turbulent flows. Thus, more computationally-  
116 demanding simulations are required, such as LES, which is the choice for the current work. This  
117 implies the definition of fine meshes, which means that, in the industrial framework, a simplified  
118 and specially tailored model needs to be developed by taking into account the fundamental  
119 physics of the problem. Furthermore, the experimental validation of displacements of ALICE  
120 ITS3 layers is a challenge, as the tight tolerances and low system mass do not allow using invasive  
121 devices such as accelerometers [60]. The standard non-invasive techniques used in engineering,  
122 namely laser triangulation sensors, have been verified by the authors as unsuitable due to the  
123 tight tolerances of the system. Thus, more accurate devices are needed for this particular case.  
124 In the current study, the use of confocal chromatic sensors for displacement is proposed. On the  
125 basis of thorough market research by the authors, they provide up to one order of magnitude  
126 greater accuracy than laser displacement sensors. To the authors' knowledge, this is the first  
127 publication on the use of confocal sensors in a high-level engineering application.

128 The paper is structured as follows: in Section 2.1, the experimental setup employing con-  
129 focal sensors to measure the displacements of the layers in a prototype of the ALICE ITS3 is  
130 presented. Next, in Section 2.2 a mathematical model for the fluid-structure interaction (FSI)

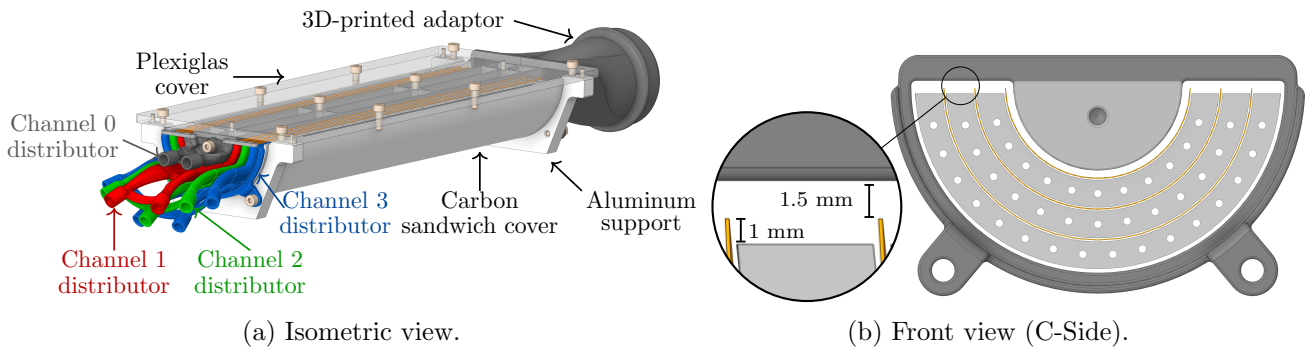
131 is developed; in particular, it focuses on decoupling the fluid and structural problems, and the  
 132 novel methodology followed in the fluid simulations is applicable to other aeroelastic problems  
 133 that combine different flow physics. The results of the study are detailed in Section 3. Initially,  
 134 the mathematical model is compared with experimental values for validation. Subsequently,  
 135 the model is used to analyze the real configuration of the future ITS3 setup, as well as poten-  
 136 tial modifications due to changes in detector cooling and installation requirements. Finally, in  
 137 Section 4 the most significant findings are summarized.

## 138 2. Methodology

139 The aeroelastic study of the ALICE ITS3 is divided into two parts. First, in Section 2.1  
 140 an experimental setup based on the design outlined in Fig. 2a and installed in a wind tunnel  
 141 is presented. Confocal sensors are installed in this setup to measure the displacements of the  
 142 layers in a prototype of the ALICE ITS3. Second, Section 2.2 describes the development of  
 143 a mathematical model for the fluid-structure interaction (FSI). This model aims to determine  
 144 the aeroelastic performance of the system by decoupling the fluid and structural problems and  
 145 employing simplified geometry in the fluid simulations.

### 146 2.1. Experimental setup

147 The experimental setup presented first in [24] for thermal analysis is used to measure the  
 148 displacements of Layer 2 (see Fig. 1b). Layer 2 exhibits the highest displacements in the setup,  
 149 a point that will be justified later. Figure 3a shows the core of the setup, which consists of  
 150 a prototype of a half-barrel of the design of Fig. 2a and a carbon sandwich cover including a  
 151 Carbon-Fiber Reinforced Plastic (CFRP+thermally-insulating foam+CFRP). The cover has an  
 152 inner radius of 40 mm, instead of the 36 mm required (30 mm of the radius of Layer 2 shown in  
 153 Fig. 1b + 6 mm of the foam). This is because it was previously manufactured for a preliminary  
 154 40 mm of inner radius model; it was decided to adapt it to the current design. To solve the  
 155 mismatch in the dimensions, a thermally-insulating closed-cell carbon foam is introduced to  
 156 cover the remaining 4 mm of thickness (see Fig. 3c). Holes of 1.5 mm of diameter are drilled in  
 157 the longerons so that the wires of the temperature sensors can exit the system (see Fig. 3c).



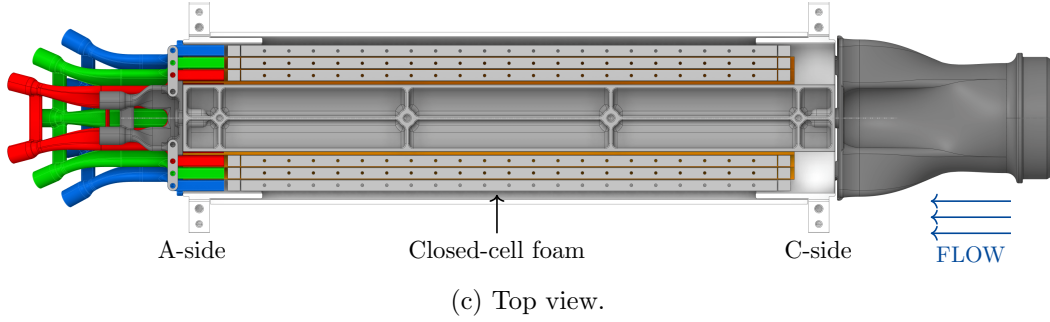


Fig. 3: ALICE ITS3 half-barrel used in the experimental setup.

158 The flow distribution system consists of four separated channels (see Fig. 3a). At the C-side,  
 159 the transition from a circular pipe of 25 mm of radius to the prototype of 16.5 mm of inner  
 160 radius and 36 mm of outer radius is done with a 3D-printed adaptor. The detailed adaptor  
 161 geometry is irrelevant for the current work [24]. The layers used in this setup consist of the  
 162 following materials: polyimide (12.5  $\mu\text{m}$ ) - silicon (40  $\mu\text{m}$ ) - polyimide (12.5  $\mu\text{m}$ ) - copper (5  
 163  $\mu\text{m}$ ) - polyimide (12.5  $\mu\text{m}$ ). These layers are glued with epoxy glue for a total thickness of  
 164 approximately 165  $\mu\text{m}$ , which means that the total thickness of the glue is  $165 - 3 \times 12.5 - 40 =$   
 165  $87.5 \mu\text{m}$ . Since there are four glue layers, assuming that the glue quantity is equally distributed  
 166 in all layers, the thickness of each glue layer is  $87.5 \mu\text{m}/4 \approx 22 \mu\text{m}$ .

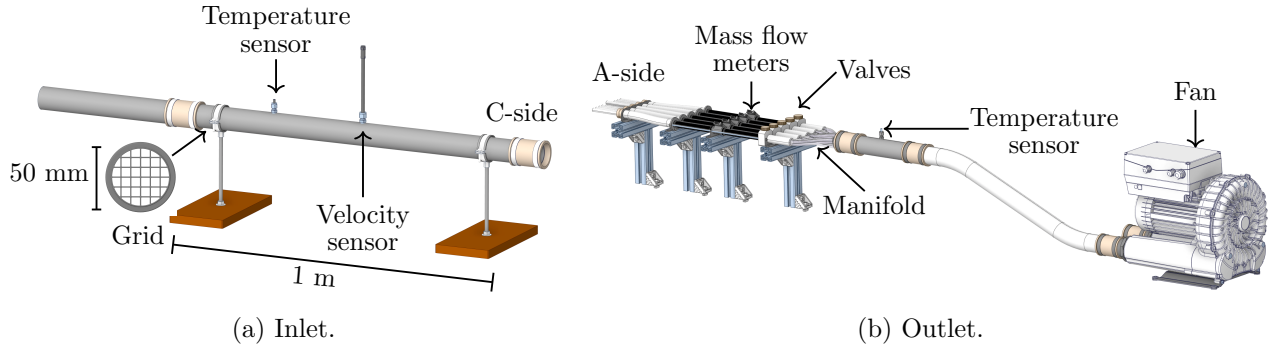


Fig. 4: Components of the wind tunnel.

167 The airflow is generated by a fan that can provide up to  $2 \times 10^4$  Pa for a freestream velocity  
 168 of 20 m/s and includes a frequency inverter so that the operating point can be set manually  
 169 or with a computer. The flow direction is from the C-side to the A-side (see Fig. 3c). All  
 170 channels are equipped with an aluminum valve and a mass flow meter that have an uncertainty  
 171 of  $\pm 0.07 v_\infty$  (see Fig. 4b). Channel 3 uses two mass flow meters due to limitations in the range of  
 172 the devices. The mean freestream velocity in the four half-barrels  $v_{\infty,i}$  ( $i$  from 1 to 4) is obtained  
 173 by mass conservation, assuming that the flow is steady and incompressible. The valves have  
 174 been designed and produced at CERN to minimize the pressure loss in that area.

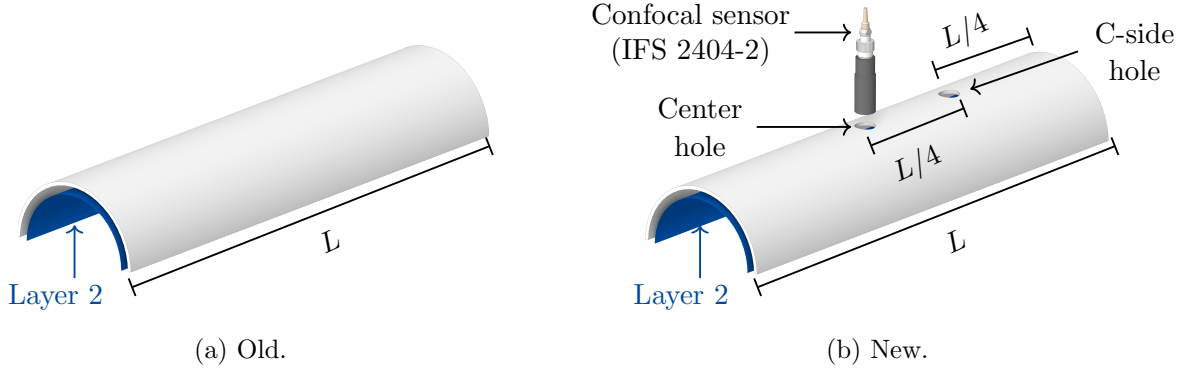


Fig. 5: Update of the prototype of Fig. 3 to measure Layer 2 displacements

175 In this work, a modification is carried out to the prototype of Fig. 3. Since the carbon  
 176 sandwich cover is glued to the foams, it cannot be replaced by a new one; thus, two holes of  
 177 12 mm of diameter are drilled at  $x = 0$  (see Fig. 5). These holes are subsequently covered  
 178 by a transparent glass, so that the displacements of Layer 2 can be measured with a confocal  
 179 chromatic sensor.

### 180 2.1.1. Confocal chromatic sensors

181 Confocal chromatic sensors use white light to measure distances and thicknesses of materials.  
 182 These sensors contain a multilens optical system that disperses the polychromatic white light  
 183 into a rainbow of monochromatic wavelengths (from 380 nm to 780 nm) by controlled chromatic  
 184 dispersion. The measuring range is given by the distance between the apices of the triangles of  
 185 the ultraviolet and red lights (see Fig. 6a). Lights of different wavelengths are reflected from the  
 186 measuring object, but a system consisting of a semipermanent mirror and a pinhole ensures that  
 187 only the beams of the focused wavelength are not blocked. Sensors are connected by optical fiber  
 188 to another device—the controller—which contains a spectrometer. The wavelength is seen as a  
 189 peak in the intensity of the spectrometer, and a linear correlation obtained by the manufacturer  
 190 gives as output the distance to the measuring object.

191 The measuring range of confocal sensors, as well as the diameter of the cone generated by  
 192 the beam, is not the same for all devices. The first limitation in the sensor selection is the  
 193 distance from the sensor to the target. In the case of Layer 2, the minimum distance is the  
 194 sum of Channel 3 width (6 mm), the thickness of the closed-cell foam used next to the cover  
 195 (3 mm), and the thickness of the cover (4 mm) (see Fig. 3). This implies that the start of  
 196 the sensor measurement range must be greater than  $6+3+4=13$  mm, which is the case for the  
 197 Micro-Epsilon confocalDT IFS2404 (see Fig. 6b). The start of the measurement range is given  
 198 by the height of the blue triangle ( $\approx 14$  mm), while the height of the red triangle represents the  
 199 end of the measuring range ( $14+2=16$  mm). The diameter of the holes is such that the entire  
 200 beam passes through it. Smaller holes lead to a reduction of the intensity of the wavelength

201 peak in the spectrometer, which can lead to the deterioration of the accuracy.

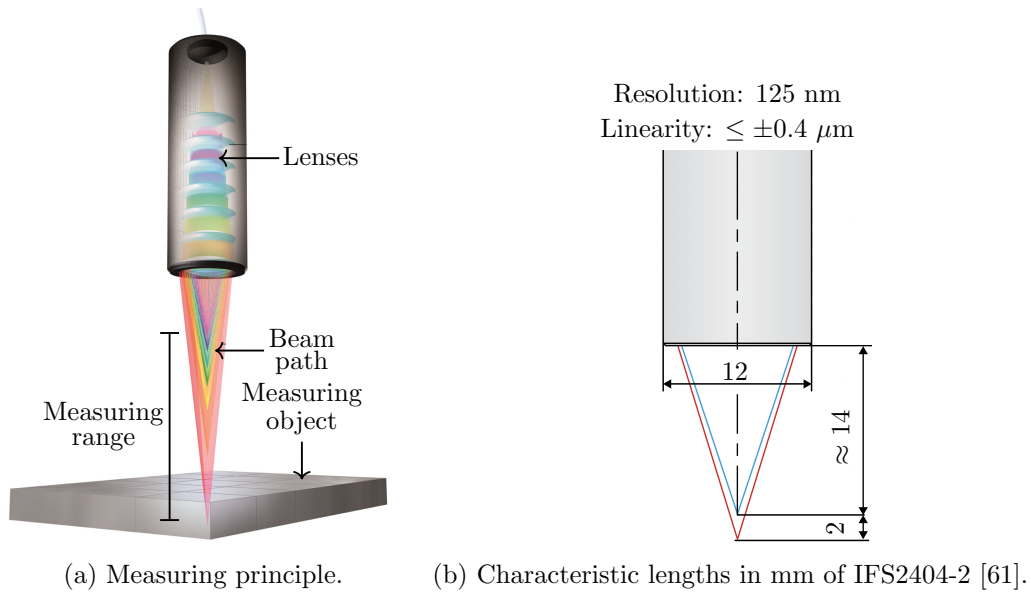


Fig. 6: Details of confocal chromatic sensors.

202 The error of confocal chromatic sensors is the combination of the resolution and the linearity:

- 203 • Resolution: the smallest size that the sensor can detect. In dynamic measurements, it is  
204 higher than in static measurements. Static displacements are not considered in this work;  
205 thus, the value given in Fig. 6b is the dynamic resolution given by the official datasheet.
- 206 • Linearity: the error of the linear correlation of the distance as a function of the wavelength.  
207 Each sensor has a linearity curve that gives the deviation from the linear correlation along  
208 the measuring range (see Fig. 7). In this work, the linearity of the sensor is defined as  
209 the peak-to-peak value of this curve. This value is lower than the one given in the offi-  
210 cial datasheet ( $\pm 1 \mu\text{m}$ ), the maximum value among all sensors manufactured. It should  
211 be noted that linearity is defined for measurements of absolute distances of the order of  
212 the domain of Fig. 7 (from 0 to 800  $\mu\text{m}$ ), while the current study is focused on rela-  
213 tive distances—with respect to the equilibrium position—of  $\sim 1 \mu\text{m}$ . This implies that  
214 considering the sensor error to be equal to the linearity is a conservative assumption.

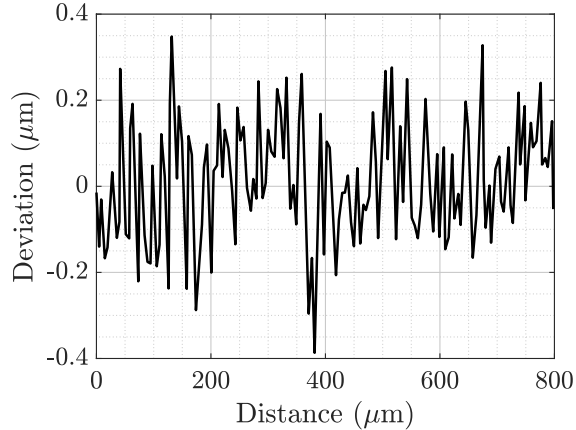


Fig. 7: Linearity curve of the IFS 2404-2 confocal chromatic sensor used for testing.

215 *2.2. Mathematical model*

216 In this section, the mathematical model for the fluid-structure interaction (FSI) problem for  
 217 the system described in the previous section is presented.

218 The displacements of the layers can be divided into two parts: the static displacements  
 219 produced by pressure differences in the mean flow of different channels, and the dynamic dis-  
 220 placements generated by the pressure fluctuation due to turbulence.

221 In this work, steady displacements are not taken into account. This is reasonable in Channels  
 222 1, 2, and 3, since the pressure loss is dominated by the foams (see [24]), whose length is the same  
 223 in all channels. In addition, the channel flow distributors shown in Fig. 3 are designed so that  
 224 the pressure loss is the same in all of them, ensuring a minimum pressure difference between  
 225 the channels. In Channel 0, a static structural analysis using the pressure forces obtained  
 226 from the order-of-magnitude analysis of [24], including a safety factor of 5, has shown that  
 227 the displacements are of the order of  $10^{-4}$   $\mu\text{m}$ , which concludes the justification that static  
 228 displacements are negligible with the present geometry and flow parameters.

229 In terms of dynamic displacements, as discussed in the Introduction, the maximum permis-  
 230 sible displacement for the layers is approximately 5  $\mu\text{m}$ . Therefore, a decoupled Fluid-Structure  
 231 Interaction (FSI) strategy remains valid provided that these displacements do not interfere with  
 232 the fluid flow; specifically, if both the undeformed and deformed geometries result in identical  
 233 aerodynamic forces. A standard mesh requirement for accurate LES simulations is that the  
 234 dimensionless wall distance of the first near-wall cell is  $\tilde{y}_w^+ \leq 1$ . The wall distance of the first  
 235 near-wall cell such that  $\tilde{y}_w^+ \leq 1$  can be estimated using the Schlichting correlation for the skin  
 236 friction coefficient of a flat plate [62]. Using the Reynolds number of 3000 mentioned in the  
 237 Introduction, the estimated wall distance of the first near-wall cell such that  $\tilde{y}_w^+ = 1$  is  $h = 25$   
 238  $\mu\text{m}$ . This value is one order of magnitude higher than the characteristic displacements, which  
 239 means that the effect of structural displacements on the fluid flow can be neglected. In sum-

240 mary, it is justified to consider a decoupled FSI in the model, although the validity of the model  
 241 is limited to small displacements as those considered in the current application. If the char-  
 242 acteristic displacements given by this method are much higher than the sensor accuracy, then  
 243 the model accuracy is reduced. However, this case is irrelevant, since the detector cannot be  
 244 installed under those conditions. In this case, design improvements or the reduction of the air  
 245 freestream velocity would be required to match the targets set by the ALICE experiment.

### 246 2.2.1. Fluid simulations

#### 247 Equations

248 The incompressible filtered Navier-Stokes equations for the air are [31]:

$$\begin{cases} \nabla \cdot \mathbf{v} = 0 & (1) \\ \frac{\partial \mathbf{v}}{\partial t} + \mathbf{v} \cdot \nabla \mathbf{v} = -\frac{1}{\rho} \nabla p + \nabla \cdot [(\nu + \nu_t) \nabla \mathbf{v}] + \mathbf{S}, & (2) \end{cases}$$

249 where  $\rho$  is the density,  $\mathbf{v}$  is the velocity,  $t$  is the time,  $p$  the pressure, and  $\nu$  the kinematic  
 250 viscosity. Eqs. (1) to (2) also contain the following assumptions, terms, and parameters:

- 251 • *Implicitly-filtered Large Eddy Simulations* are performed (see [31]). Thus, it is assumed  
 252 that the finite support of the computational mesh with the low-pass characteristics of the  
 253 discrete differentiating operators acts as an effective filter.
- 254 • The isotropic part of the *subgrid stress tensor* is included in the filtered pressure, and  $\nu_t$   
 255 is derived from the Boussinesq assumption is provided by the WALE model [63] with the  
 256 wall constant  $C_w = 0.325$ .
- 257 • The *momentum source term*  $\mathbf{S}$  takes into account the pressure loss of foams [64]:

$$\mathbf{S} = \Phi \left( \frac{\mu}{\mathcal{P}} + \frac{\Phi \rho C_d}{\sqrt{\mathcal{P}}} |\mathbf{v}| \right) \mathbf{v} \mathbf{1}_{\Omega_f}. \quad (3)$$

258 where  $\mathbf{1}_{\Omega_f}$  is the indicator function in the foam domain. The first component (Darcy's law)  
 259 represents the drag of Stokes flows ( $Re \ll 1$ ), while the second component (Forchheimer's  
 260 law) provides the general expression for  $Re \gg 1$ , with  $\Phi$ ,  $\mathcal{P}$  and  $C_d$  referring to the foam  
 261 porosity and permeability and drag coefficients, respectively, while  $\mu$  to the air viscosity.  
 262 The values are  $\Phi_{K9} = 0.89$ ,  $\Phi_{RVC} = 0.97$ ,  $\mathcal{P}^{RVC} = 3.32 \times 10^{-9} \text{ m}^2$ ,  $\mathcal{P}^{K9} = 5.97 \times 10^{-10}$   
 263  $\text{m}^2$ ,  $C_d^{RVC} = 7.81 \times 10^{-2}$ , and  $C_d^{K9} = 1.02 \times 10^{-1}$  [23].

#### 264 Geometry and boundary conditions

265 The computing power available does not allow for the performance of the fluid simulation of  
 266 the entire geometry of Fig. 3. The mesh required to solve at least 80% of the turbulent kinetic

267 energy [31] requires a high cell count. This implies the use of a small time step, while the number  
 268 of simulated time steps is high due to the large ratio between the channel length and width  
 269 (280 mm/6 mm  $\approx$  50, see Fig. 8). To reduce the computational cost of the simulations, the first  
 270 additional assumption consists of considering that the flow in the four channels is independent.  
 271 This is equivalent to stating that there is no flow between the layers, which is the case when the  
 272 longerons are considered to be walls (no air can penetrate through them), and that the gap of  
 273 1.5 mm of Fig. 3b to the symmetry plane (0.5 mm in the real ITS3, see Fig. 1b) does not exist.  
 274 This assumption allows the simulation of each channel separately, reducing the computational  
 275 cost of the fluid simulations.

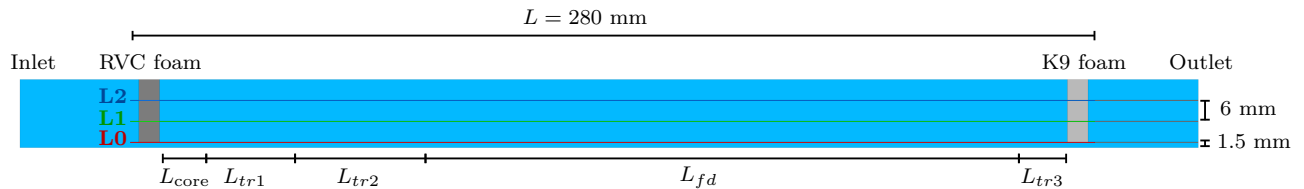


Fig. 8: Cut with the plane  $y = 0$  of a half-barrel of the ALICE ITS3.

276 In free shear flows, turbulence is generated at much lower Reynolds numbers than in wall-  
 277 bounded flows. This fact motivates the assumption that the free shear flow after the air passes  
 278 through the holes of the foams is responsible for the pressure fluctuations in the silicon layers.  
 279 These fluctuations begin at the potential core during the spatial development of the Kelvin-  
 280 Helmholtz instability, in a region of length  $L_{core}$  (see Fig. 8). Then, fluctuations reach their  
 281 maximum intensity in a region of length  $L_{tr1}$ , to then be reduced in a region of length  $L_{tr2}$ , in  
 282 which the channel flow starts to develop. After a distance from the holes of  $L_{core} + L_{tr1} + L_{tr2}$ ,  
 283 the fully developed channel flow regime is reached, and this behavior holds for a distance of  
 284  $L_{fd}$ , in particular until a distance of  $L_{tr3}$  before the K9 foam, in which the flow is accelerated  
 285 locally near the holes.

286 Considering the previous ideas, the second additional assumption consists of reducing the  
 287 length of the computational domain. It is assumed that the displacements are mainly caused  
 288 by the pressure fluctuations in the region of length  $L_{tr1}$ , and the computational domain extends  
 289 from the inlet of Fig. 8 until the flow is fully developed at a distance to the foam of  $L_{core} + L_{tr1} +$   
 290  $L_{tr2} = L/3$ . This is considered to be valid in the current application, since the  $Re_h = 3000$  in the  
 291 fully-developed channel flow, which is transitional, while at high Reynolds number additional  
 292 verification steps would be needed. The total value of  $L/3$ , as well as the length of  $L/10$  from the  
 293 inlet to the foam (see Fig. 9b), has been derived from preliminary steady simulations using the  
 294  $k - \omega$  SST RANS turbulence model [65]. Solving the fluid flow only in the relevant regions with  
 295 a reduced domain is assumed to be applicable to other internal flows such as turbomachinery.

296 Inlet turbulence levels are assumed not to affect the downstream solution since the RVC

297 foam acts as a flow straightener credit to its low characteristic cell size of about  $100\ \mu\text{m}$  [23]. Its  
 298 effect on the free shear flow generated in the holes is assumed to be similar to the error related  
 299 to other assumptions of the model. In addition, since the heights of Channels 1, 2, and 3 are  
 300 the same (and in Channel 0 there is no free shear flow due to the absence of foams, see Fig. 1a),  
 301 it is reasonable to assume that the aerodynamic loads produced in Layer 0, Layer 1, and Layer  
 302 2 are of the same order. Since the displacements are inversely proportional to the structural  
 303 stiffness, for the same aerodynamic loads in all layers, the highest displacements are expected  
 304 in Layer 2. As a consequence, this work will focus only on the displacements of Layer 2, which  
 305 means that only Channels 2 and 3 will be simulated, and the pressure at Layer 2 is obtained as  
 306 the difference between the wall pressure obtained in the simulation of Channel 3 and the one of  
 307 the simulation of Channel 2.

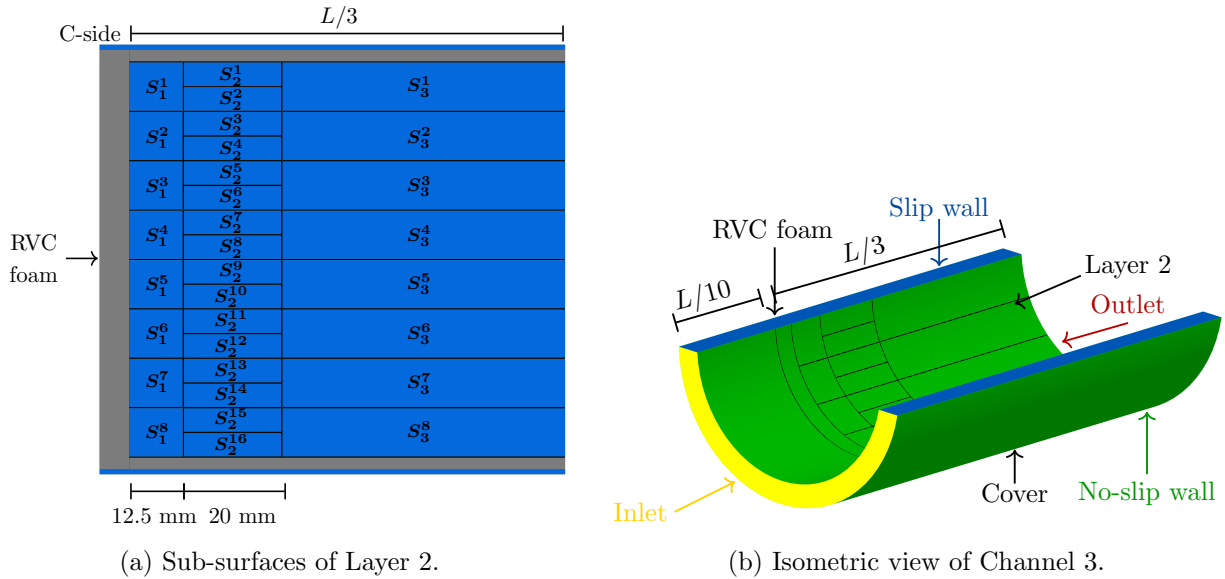


Fig. 9: Geometry of the fluid simulations for the calculation of the aerodynamic forces in Layer 2.

308 The procedure to transfer the pressure loads obtained from fluid simulations to structural  
 309 simulations is a key part of the model. Generating the same computational mesh for both  
 310 simulations is discarded, since fluid simulations require a much smaller mesh size, and generating  
 311 a mesh with small size for structural simulations notably increases the computational cost of  
 312 these simulations. An alternative is to generate meshes of different sizes to project pressure  
 313 values from the fine (fluid) mesh to the coarse (structural) mesh. However, the current situation  
 314 is such that this process cannot be automated in Ansys Mechanical/Fluent, which is the software  
 315 used in the current work. As a solution, a division of Layer 2 into sub-surfaces is proposed, and  
 316 average values over these sub-surfaces are extracted and used as inputs for structural simulations.  
 317 Considering the unbent Layer 2 for the ease of illustration, the division in the longitudinal  
 318 direction is done into three sections, including a first part of length  $L_{\text{core}} = 12.5\ \text{mm}$  ( $S_1$ ), a

319 second part of length  $L_{tr1} = 20$  mm ( $S_2$ ), and a third part of length  $L_{tr2} = L/3 - 20 - 12.5$   
 320 mm ( $S_3$ , see Fig. 9a). The division in the orthogonal direction is done taking as reference the  
 321 number of holes of the foam (16). As mentioned previously, pressure fluctuations are expected  
 322 to be dominant in the region of length  $L_{tr1} = 20$  mm; therefore,  $S_2$  sub-surface is divided into  
 323 16 additional sub-surfaces; that is, two times greater number of elements than  $S_1$  and  $S_3$ . These  
 324 divisions and lengths have been obtained from preliminary simulations with a coarse mesh.

325 The boundary conditions of the fluid simulations are sketched in Fig. 9b. The velocity at  
 326 the inlet is set to the freestream velocity  $v_\infty$ , whereas, for the outlet, the pressure is set to the  
 327 reference pressure  $p_\infty$ . No-slip condition is imposed in all walls of the domain except the wall in  
 328 contact with the longerons, in which a slip boundary condition is applied. The same reasoning  
 329 applies to the simulations of Channel 2.

### 330 *Numerical implementation*

331 Eqs. (1) to (2) are solved with the finite volume method [66] that is implemented in An-  
 332 sys Fluent 2023R1 [67]. The poly-hexcore method is used for meshing, which creates a mesh  
 333 consisting of octrees in the bulk region, keeps a high-quality layered poly-prism mesh in the  
 334 boundary layers, and connects these two meshes with general polyhedral elements. The compu-  
 335 tational mesh is generated with two different cell sizes. The fine mesh size  $\Delta$  is defined in the  
 336  $S_1$  and  $S_2$  surfaces (see Fig. 9a), while in  $S_3$  the mesh size is equal to  $2\Delta$ . It has been verified  
 337 in preliminary simulations that the results given by this mesh are the same as those obtained  
 338 with a uniform mesh of size  $\Delta$ . In the no-slip walls, the poly-prism mesh of the boundary layer  
 339 is defined such that  $\tilde{y}_w^+ \leq 1$  and the aspect ratio of the first near-wall cell is lower than 3.

340 Flow variables are stored at cell centers, and cell face values are computed with interpola-  
 341 tion schemes. A central difference scheme is used for pressure and velocity in the momentum  
 342 equation, while, in the continuity equation, a corrected momentum interpolation is proposed  
 343 to avoid pressure checkerboarding [68]. Gradients are obtained using a least-squares procedure.  
 344 The temporal discretization is a second-order implicit scheme, and a linearized form of each  
 345 equation for the flow variable  $\Psi$  is [67]:

$$A_{\text{cell}}^n \Psi_{\text{cell}}^{n+1} = \sum_{nb} A_{nb}^n \Psi_{nb}^{n+1} + B_{\text{cell}}^n. \quad (4)$$

346 In Eq. (4),  $nb$  denotes neighbor cells, superindexes  $n$  and  $n + 1$  refer to the current and next  
 347 time step, respectively, and  $A$  and  $B$  are constants. The pressure-velocity coupling is performed  
 348 with the SIMPLEC algorithm [66]; in each iteration, the systems of linear algebraic equations  
 349 are solved using an algebraic multigrid method that uses the Gauss-Seidel method as smoother  
 350 [69]. In the  $m$ -th iteration, the globally-scaled residuals of Eq. (4) are defined as follows [67]:

$$R_{\Psi}^m = \frac{\sum_{\text{cell}} |\sum_{nb} A_{nb} \Psi_{nb}^m|}{\sum_{\text{cell}} |A_{\text{cell}} \Psi_{\text{cell}}^m|}. \quad (5)$$

351 It is verified that, at the end of the iterative process that is done every time step, the globally-  
 352 scaled residuals are  $< 5 \times 10^{-4}$  (continuity),  $< 10^{-5}$  (momentum and turbulent variables), and  
 353  $< 10^{-8}$  (energy). These values are lower than those by default in Ansys Fluent of  $< 10^{-3}$   
 354 (continuity, momentum, and turbulent variables) and  $< 10^{-6}$  (energy). Simulations are started  
 355 at  $t = t_0$  from a precursor RANS simulation (steady-state solver with second-order upwind  
 356 discretization in the convective terms), and the time step is selected such that the maximum  
 357 cell convective Courant number  $Co < 0.5$ . The same time step is used in all simulations of  
 358 the mesh independence study. The simulations are completed when the flow statistics (pressure  
 359 forces) reach a statistically steady state at  $t = T$ . Pressure forces are extracted at the same  
 360 frequency as the sampling frequency of the confocal sensor (30 kHz).

### 361 2.2.2. Structural simulations

#### 362 Equations

363 The displacements  $\mathbf{d}$  of Layer 2 are obtained from the following equation [70]:

$$\mathbf{M} \frac{\partial^2 \mathbf{d}}{\partial t^2} + \mathbf{C} \frac{\partial \mathbf{d}}{\partial t} + \mathbf{K} \mathbf{d} = 0 \quad (6)$$

364 where  $\mathbf{M}$  is the mass matrix,  $\mathbf{C}$  is the damping matrix, and  $\mathbf{K}$  is the stiffness matrix.

365 The mass and stiffness matrices are obtained from known material properties, while the  
 366 damping matrix is, a priori, unknown. Rayleigh approximation is considered, in which the  
 367 damping matrix is a linear function with respect to the mass and stiffness matrices [70]:

$$\mathbf{C} = \alpha \mathbf{M} + \beta \mathbf{K}, \quad (7)$$

368 with  $\alpha$  and  $\beta$  referring to the mass and stiffness multipliers, respectively. These values relate  
 369 the damping ratio at a particular natural frequency,  $\zeta_i$ , with the natural frequency  $f_i$  [71]:

$$\zeta_i = \frac{\alpha}{4\pi f_i} + \pi\beta f_i. \quad (8)$$

370 The values of  $\alpha$  and  $\beta$  are closed with the damping ratios of two natural frequencies of the  
 371 system. The damping ratio is estimated using the half-power bandwidth method [72], which  
 372 uses the experimental frequency response of the geometry under consideration as a reference.  
 373 For a natural frequency  $f_i$  whose corresponding amplitude is  $\hat{d}_{\max}$ , then  $\zeta_i = 2(b - a)/f_i$ , where  
 374  $a$  and  $b$  are the frequencies such that the amplification is equal to  $\hat{d}_{\max}/2$  (see Fig. 10).

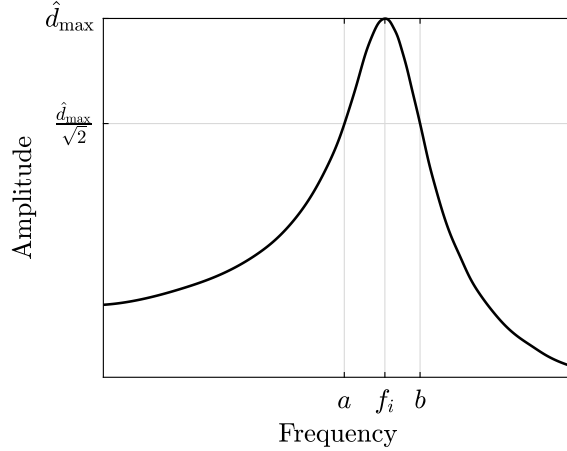


Fig. 10: Half-bandwidth method for the calculation of the damping ratio.

375 *Geometry and boundary conditions*

376 The geometry of the transient structural simulations is shown in Fig. 11. The entire Layer 2 is  
 377 simulated, with the pressure forces obtained from the fluid simulations as boundary conditions.  
 378 At the surface of length  $L - L/3 = 2L/3$  —not considered in the fluid simulation—the mean  
 379 value (in space) of the pressure at the  $S_3$  sub-surfaces (see Fig. 9a) is applied, representing an  
 380 approximation of the fully developed channel flow (see Fig. 8). The bonding between foams and  
 381 silicon layers is assumed to be infinitely stiff; therefore, foam surfaces are fixed supports.

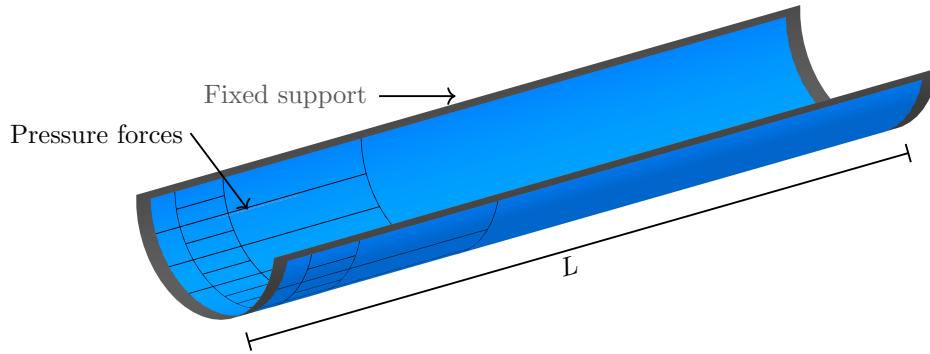


Fig. 11: Geometry and boundary conditions of the structural simulations of Layer 2.

382 *Numerical implementation*

383 Eq. (6) is solved with the finite element method that is implemented in Ansys Mechan-  
 384 ical 2023R1 [73]. Since the layer thickness is negligible with respect to the other dimensions,  
 385 particularly to the radius of curvature of the bent layers, shell elements are used [74]. This  
 386 allows the generation of a two-dimensional mesh, in which quadrilateral elements are used with  
 387 a uniform size equal to  $\delta$ . In the simulations of the experimental setup, the layout explained  
 388 in Section 2.1, including the glue, is taken as a reference, and the copper layer is neglected  
 389 as a first approximation. This is because the copper layer has the geometry of a “serpentine”

390 (see Fig. 12), and the direction of the serpentine is such that the contribution to the bending  
 391 stiffness of the structure in the  $y$  direction (normal to the unbent layer) is considerably reduced  
 392 with respect to a fully-solid layer. The contacts between the layers of the integrated heaters are  
 393 assumed to be ideal; that is, there is no separation nor sliding between the layers.

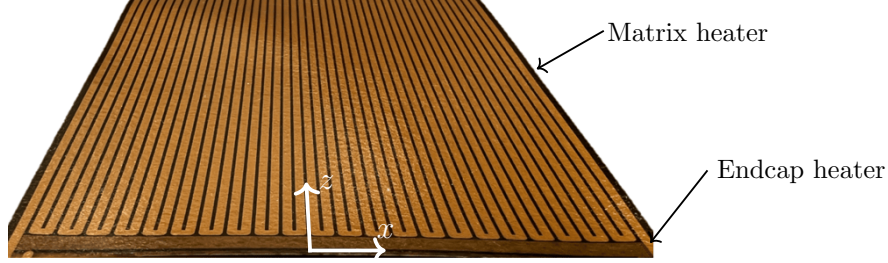


Fig. 12: Layers with integrated heaters used in the experimental setup.

394 The mass and stiffness matrices are given by the following integrals [70]:

$$M = \rho \int_{S_e} \mathbf{N}^T \mathbf{N} \, dS, \quad \mathbf{K} = \int_{S_e} \mathbf{B}^T \mathbf{D} \mathbf{B} \, dS, \quad (9)$$

395 where  $\rho$  is the material density,  $S_e$  are the surfaces of the mesh elements, and the matrices are  
 396 defined as follows [70]:

- $\mathbf{N}$ : relates the nodal displacements  $\mathbf{u}$  and the displacements of a general point:

$$\mathbf{w} = \mathbf{N}\mathbf{u}. \quad (10)$$

- $\mathbf{B}$ : relates the strains  $\boldsymbol{\varepsilon}$  to the nodal displacements:

$$\boldsymbol{\varepsilon} = \mathbf{B}\mathbf{u}. \quad (11)$$

- $\mathbf{D}$ : the elastic stiffness matrix that relates stresses  $\boldsymbol{\sigma}$  and strains:

$$\boldsymbol{\sigma} = \mathbf{D}\boldsymbol{\varepsilon}, \quad (12)$$

397 and whose local components in the directions  $\hat{x}$ ,  $\hat{y}$ , and  $\hat{z}$  for an orthotropic material are  
 398 [70]:

$$\begin{bmatrix} \sigma_{\hat{x}\hat{x}} \\ \sigma_{\hat{y}\hat{y}} \\ \sigma_{\hat{z}\hat{z}} \\ \sigma_{\hat{x}\hat{y}} \\ \sigma_{\hat{x}\hat{z}} \\ \sigma_{\hat{y}\hat{z}} \end{bmatrix} = \begin{bmatrix} \frac{1}{E_{\hat{x}}} & -\frac{\nu_{\hat{x}\hat{y}}}{E_{\hat{y}}} & -\frac{\nu_{\hat{x}\hat{z}}}{E_{\hat{z}}} & 0 & 0 & 0 \\ \frac{\nu_{\hat{x}\hat{y}}}{E_{\hat{y}}} & \frac{1}{E_{\hat{y}}} & -\frac{\nu_{\hat{y}\hat{z}}}{E_{\hat{y}}} & 0 & 0 & 0 \\ -\frac{\nu_{\hat{x}\hat{z}}}{E_{\hat{z}}} & -\frac{\nu_{\hat{y}\hat{z}}}{E_{\hat{y}}} & \frac{1}{E_{\hat{z}}} & 0 & 0 & 0 \\ 0 & 0 & 0 & \frac{1}{G_{\hat{x}\hat{y}}} & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{1}{G_{\hat{x}\hat{z}}} & 0 \\ 0 & 0 & 0 & 0 & 0 & \frac{1}{G_{\hat{y}\hat{z}}} \end{bmatrix}^{-1} \begin{bmatrix} \varepsilon_{\hat{x}\hat{x}} \\ \varepsilon_{\hat{y}\hat{y}} \\ \varepsilon_{\hat{z}\hat{z}} \\ \varepsilon_{\hat{x}\hat{y}} \\ \varepsilon_{\hat{x}\hat{z}} \\ \varepsilon_{\hat{y}\hat{z}} \end{bmatrix}. \quad (13)$$

399 where  $\nu$ ,  $E$ , and  $G$  are the Poisson's ratio and Young's and shear moduli, respectively.  
400 The elastic behavior of all materials is justified from the small displacement assumption  
401 (up to 5  $\mu\text{m}$ ). All materials considered in this study are assumed to be isotropic except  
402 from silicon, which is orthotropic. In each point of the unbent Layer 2, following the global  
403 coordinate system shown in Fig. 2a, the  $\hat{z}$  axis is defined in the along the 280 mm-length  
404 layer, the  $\hat{y}$  axis in the normal direction to the layer, and the  $\hat{x}$  axis such that it leads to  
405 a cartesian right-handed coordinate system (see Fig. 12).

### 406 3. Results

407 First the accuracy of the models developed in Section 2.2 is assessed in Section 3.1 by  
408 comparing numerical results with data obtained from the experiment described in Section 2.1.  
409 The validated model is then used to simulate the real configuration of the ITS3, as well as  
410 to study new configurations due to possible modifications in detector cooling and installation  
411 requirements in Section 3.2.

412 Simulation parameters are given in Table 1. Mechanical properties have been received by  
413 private communication with the suppliers of the glue and the polyimide (PI), the components  
414 of the stiffness matrix of the p-type (100) silicon wafer have been obtained from [75], and the  
415 properties of Al (used later in a parametric study) and Cu from [76]. Regarding the airflow, it  
416 has been shown in [24] that values of the freestream velocity  $v_\infty > 5$  m/s are required to meet  
417 thermal design requirement. On the contrary, values of  $v_\infty > 8$  m/s do not yield significant  
418 improvements in thermal performance while leading to a large pressure loss. Thus, as a realistic  
419 conservative assumption, freestream velocity is fixed at 8 m/s in all simulations. This condition  
420 is concluded to represent real conditions in which the detector could operate while meeting the  
421 thermal requirements.

Symbol	Material	Parameter	Direction	Value	Units
$E$	Al	Young modulus		70	GPa
	Cu			130	
	Glue			2	
	PI			2.4	
	Si		$x$	169	
	Si		$y$	130	
	Si		$z$	169	
$G$	Si	Shear modulus	$xy$	79.6	GPa
	Si		$xz$	50.9	
	Si		$yz$	79.6	
$v_\infty$	Air	Freestream velocity	$z$	8	m/s
$\gamma$	Al	Poisson ratio		0.33	
	Cu			0.36	
	Glue			0.33	
	PI			0.34	
	Si		$xy$	0.28	
	Si		$xz$	0.064	
	Si		$yz$	0.36	
$\mu$	Air	Viscosity		$1.837 \times 10^{-5}$	Pa · s
$\rho$	Al	Density		2700	kg/m <sup>3</sup>
	Cu			8960	
	Air			1.184	
	Glue			1150	
	PI			1420	
	Si			2329	

Table 1: Simulation parameters.

422 *3.1. Model validation*

423 The first steps of the model validation are carried out in Section 3.1.1 with mesh indepen-  
424 dence studies performed in fluid and structural simulations. The selection of correct meshes  
425 allows the comparison with experimental data obtained with confocal sensors in Section 3.1.2.  
426 Finally, the effect of an assumption done in the model regarding the modeling of the integrated  
427 heaters of the experimental prototype is assessed in Appendix A.

428 *3.1.1. Mesh independence studies*

429 The first study is done in the fluid simulations. Since there are no relevant mean quantities  
 430 of interest in the flow, the study will be focused on the spectral content. The time history of the  
 431 pressure  $p = p(t)$  is extracted from  $t = 0$  to  $t = T$  on the surfaces  $S_1^4$ ,  $S_2^8$ , and  $S_3^4$  (see Fig. 9a),  
 432 and the variance of the signal  $\sigma^2(p(t))$  is used as a convergence indicator [77]. The value  $\sigma^2(p_i^j)$   
 433 will be referred to  $S_i^j$ . The consideration of the variance is because the power spectral density  
 434 (PSD),  $S_{pp}$ , will be analyzed in the comparison with experimental data, and both variables are  
 435 related in the frequency domain as follows:

$$\sigma^2(p) = \int_0^\infty S_{pp}(f) df - \mu(p)^2, \quad (14)$$

436 where  $\mu$  is the mean value of  $p(t)$ , and  $S_{pp}$  represents the signal power:

$$S_{pp}(f) = \frac{1}{T} |\hat{p}(f)|^2 = \frac{1}{T} \left| \int_0^\infty p(t) e^{-2\pi i f t} dt \right|^2, \quad (15)$$

437 with  $\hat{p}(f)$  referring to the Fourier transform of the function  $p = p(t)$ .

438 Three meshes of different sizes are considered in the simulations, which are referred to the  
 439 channel height  $h$  in Table 2. The study is conducted only in Channel 3, given the similarities  
 440 between the geometries of Channels 2 and 3 (see Fig. 3). The results show that the differences  
 441 between the variance values decrease as the size of the mesh decreases, leading to convergent  
 442 behavior. The mesh of 15.7 M of cells is considered for the following simulations, in which the  
 443 aspect ratio of the first near-wall cell is 2.5 to achieve  $\tilde{y}_w^+ = 1$ , which is acceptable for LES  
 444 simulations.

$\Delta_{\min}$	Number of cells	$\sigma^2(p_1^4)$ (Pa <sup>2</sup> )	$\sigma^2(p_2^8)$ (Pa <sup>2</sup> )	$\sigma^2(p_3^4)$ (Pa <sup>2</sup> )
$h/25$	2.8 M	0.099	0.282	0.026
$h/37.5$	5.4 M	0.128	0.349	0.027
$h/50$	15.7 M	0.141	0.387	0.027

Table 2: Results of the mesh independence study of the fluid simulations of Channel 3.

445 The variances obtained prove that pressure fluctuations are dominant in the  $S_2$  surfaces, and  
 446 that the effect of the periodic channel flow ( $S_3$ ) on the displacements of Layer 2 is negligible.  
 447 These results support the assumption of reducing the length of the fluid domain.

448 Using the pressure forces obtained from the fluid simulations performed with the fine mesh,  
 449 structural simulations have been performed. Three mesh sizes are considered, and the first  
 450 natural frequency obtained from a modal analysis ( $f_1$ ) and the peak to peak value of the

451 displacements at the center point ( $d_{p-p}^{\text{center}}$ ) are used for result comparison. Table 3 shows that a  
 452 convergent behavior is achieved, and the mesh of  $\delta = h/6$  is used for the structural simulations.

$\delta$	Number of cells	$f_1$ (Hz)	$d_{p-p}^{\text{center}}$ ( $\mu\text{m}$ )
$h$	0.9 K	660	0.6
$h/2$	2.9 K	599	0.35
$h/6$	25 K	586	0.3

Table 3: Results of the mesh independence study of the structural simulations of Layer 2.

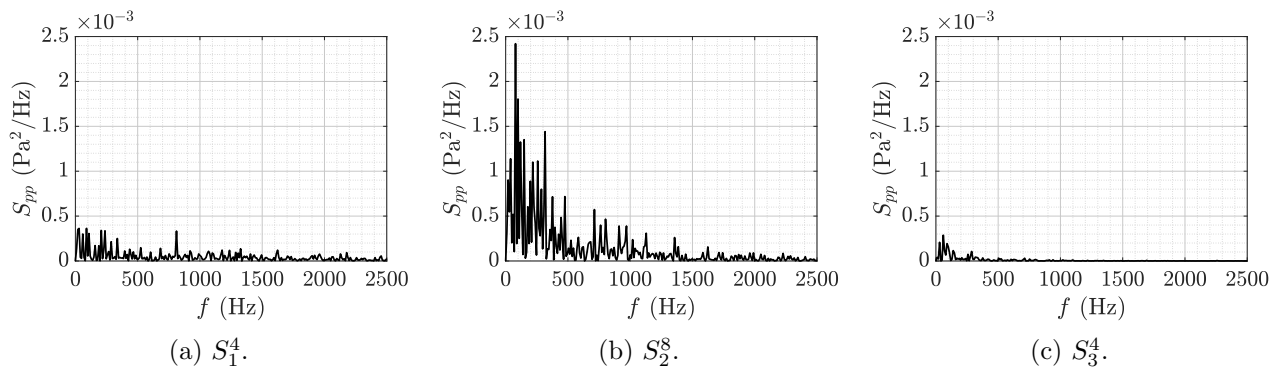


Fig. 13: Power spectral densities of the pressure on Layer 2 obtained from Channel 3 simulation.

### 3.1.2. Comparison with experimental data

453 Fig. 14a compares the PSDs given by the confocal sensor and the mathematical model  
 454 developed in this work at the center point. This graphical representation provides the response  
 455 of the system and gives the relevance of the natural frequencies of the system, which make  
 456 it a crucial variable to determine the structural behavior of the particle detector. The model  
 457 provides the main peak at 586 Hz in the simulation, while in the experiments it is approximately  
 458 at 550 Hz. The high accuracy confirms the validity of the assumption done on the modeling  
 459 of the layout of the integrated heaters (see Appendix A). The peak corresponds to the first  
 460 natural frequency of Layer 2 of the prototype. This claim can be verified in Fig. 15a, in which  
 461 only the natural frequencies with displacements in least one of the two measurement points  
 462 are illustrated. These contours are essential for the identification of the peaks of the PSDs  
 463 with natural frequencies of the system. The model also predicts significant contributions of  
 464 the eighth and twelfth natural frequencies (see Figs. 15c and 15d). The former is also present  
 465 at approximately the same frequency in the experiments, but with decreased amplitude, while  
 466 the latter is not identified. As expected, the smallest contribution is given by the fifth natural  
 467 frequency, given that the displacement at the center point is negligible (see Fig. 15b). Since the  
 468

469 dominant frequencies of the aerodynamic forces are lower than the first natural frequency (see  
 470 Fig. 13), the risk of failure of the system due to mechanical resonance is reduced.

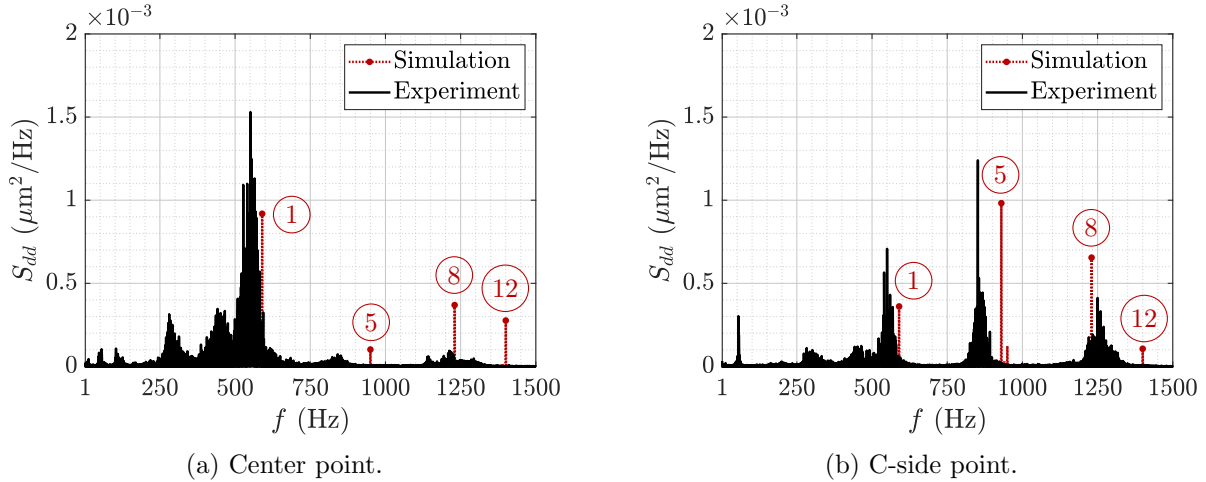


Fig. 14: Power spectral densities of Layer 2 displacements of the experimental setup obtained from experiment and simulation. Peaks related to natural frequencies are indicated with numbers.

471 The displacement PSDs obtained at the C-Side point are given in Fig. 14b. In both ap-  
 472 proaches the most relevant contribution is given by the fifth natural frequency, while the peak  
 473 of the first and eighth natural frequencies is of the same order of magnitude. In the same way  
 474 as at the center point, the simulation predicts a peak at approximately 1400 Hz that is not  
 475 noticed in the experiments. Moreover, a mode appears at 55 Hz with reduced damping in the  
 476 experiments, which is not present in the simulation. Since this value is much lower than the  
 477 first natural frequency of the layer, it is assumed that this is a part of the vibrations of the  
 478 whole wind tunnel due to external noise. Indeed, multiples of 55 Hz are also identified at the  
 479 center point (275 Hz and 440 Hz). This is a characteristic behavior of structures connected  
 480 mechanically to engines [78], which is the case in the current experimental setup (see Fig. 4).  
 481 Given that the displacement of the surrounding structure of the prototype does not vanish,  
 482 it is expected that the experiment overpredicts the values of the displacements of the layers.  
 483 To determine the damping coefficients (Eq. (7)), experimental data at the C-side point (fifth  
 484 natural frequency) and at the center point (first natural frequency) have been used, leading to  
 485 a value of  $\zeta = 0.001$  for both natural frequencies, which in turn is equivalent to  $\alpha = 4.19$  and  
 486  $\beta = 2.2 \times 10^{-7}$ .

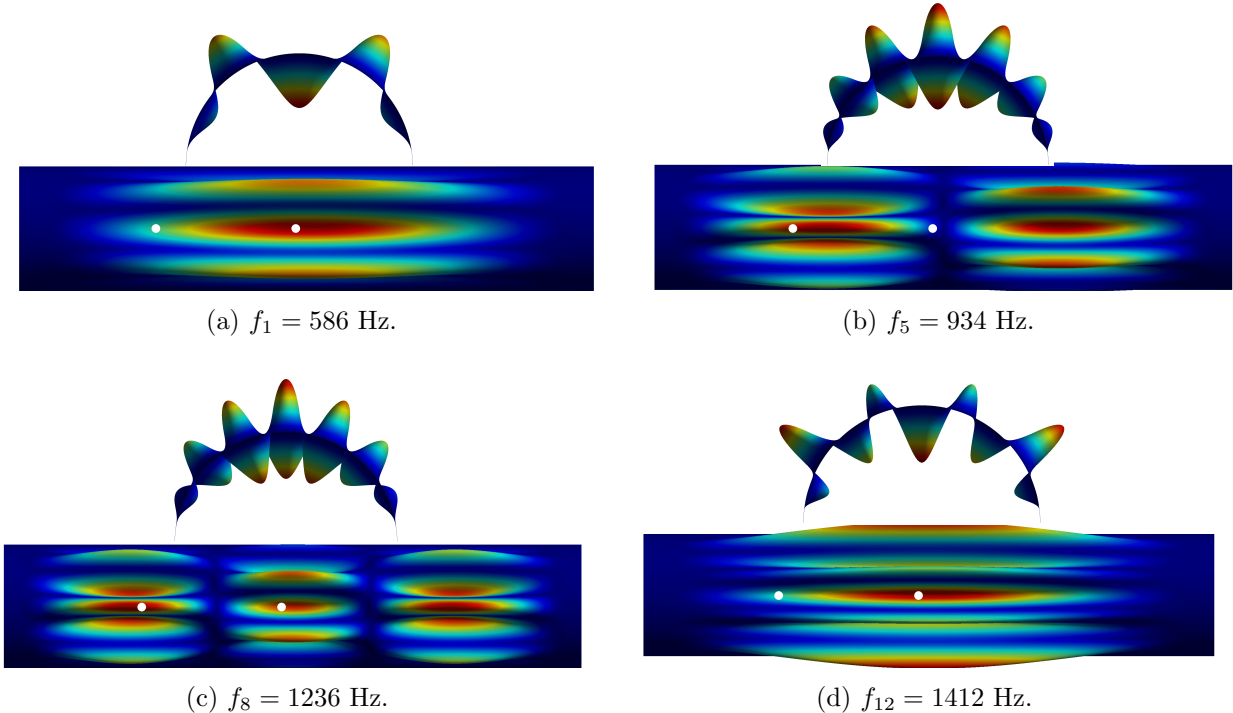


Fig. 15: Side and top view of the natural frequencies of Layer 2 of the experimental setup with relevant displacement in the measurement points. These points are highlighted in white bullets.

487 Figure 16 shows the time histories of the PSDs of Fig. 14a during one second, the simulation  
488 time in which the displacement values are extracted. The simulations provide a peak-to-peak  
489 value  $d_{p-p}^{\text{center}} \approx 0.3 \mu\text{m}$ , while, in the experiments,  $d_{p-p}^{\text{center}} \approx 1 \mu\text{m}$ . As anticipated in the previous  
490 paragraph, the main reason is that the displacements of the entire wind tunnel are responsible  
491 for the contributions for  $f < 550$  Hz and possibly for higher frequencies. Another important  
492 aspect is the damping term of Eq. (6). In the experimental data of Fig. 14b, the peaks at  
493 the natural frequencies are approximately a Dirac delta for values of the PSD higher than the  
494 peak value divided by  $\sqrt{2}$ , which is the limit value used in the half-power bandwidth method.  
495 This also occurs in the simulations. However, the contribution of the natural frequencies for  
496 which the value of the PSD is lower than the limit is negligible in the simulation, leading to low  
497 bandwidth; in the experiments the bandwidth is significantly large (see the zoom of Fig. 14b).  
498 Apart from the limitations of the modeling approach, it cannot be ruled out that the structure of  
499 the entire wind tunnel has a damping ratio much higher than the one of the silicon layer, which  
500 would explain the experimental results. In addition, it should be noted that the resolution of the  
501 sensors ( $0.125 \mu\text{m}$ ) is of the same order as the differences between experimental and numerical  
502 results, and the linearity ( $\pm 0.4 \mu\text{m}$ ) adds additional error. Without airflow, the displacements  
503 measured by the sensor are  $d_{p-p}^{\text{center}} \approx 0.2 \mu\text{m}$ , due to a combination of sensor error and external  
504 noise in the test room.

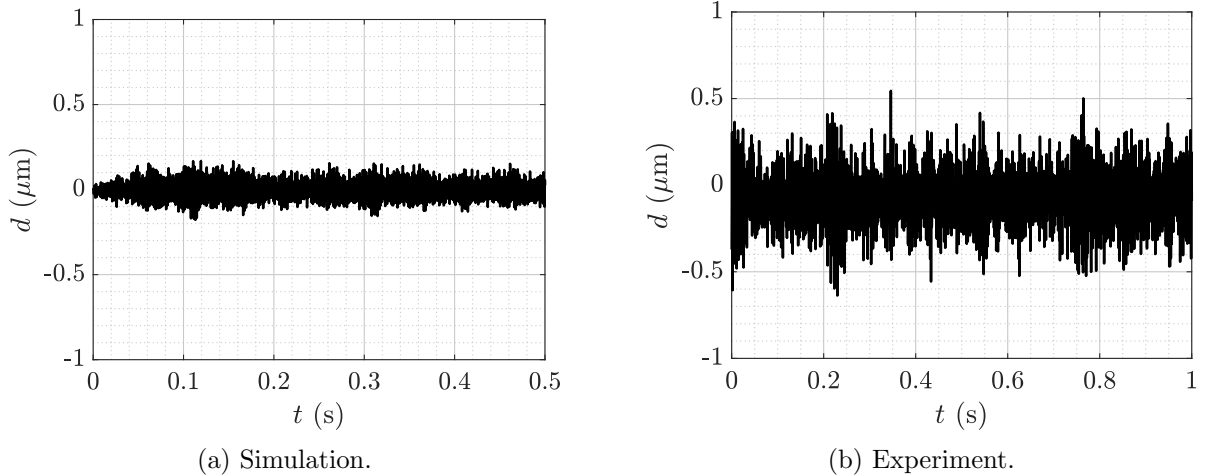


Fig. 16: Time history of the displacements of Layer 2 of the experimental setup at the center hole.

505 *3.2. Real configuration*

506 After confirming the accuracy of the mathematical model using experimental results obtained  
 507 in a prototype, in this section the expected real configuration of the future ITS3 is studied. This  
 508 real configuration differs from the one of the prototype in the materials used in the layers. In  
 509 addition, possible adjustments required due to changes in detector cooling and installation  
 510 requirements are also investigated in Section 3.2.1.

511 The Si layers used in the ALICE ITS3 will not contain the integrated heaters used in  
 512 the experimental setup. The reference design for the real detector uses Si layers of 40 μm  
 513 of thickness, although other alternatives are under consideration if that thickness cannot be  
 514 achieved in the design for performance reasons: these include Si layers of 50 μm, or Si layers of  
 515 40 μm with an additional Al layer of 10 μm deposited with physical vapor deposition. Using  
 516 the model developed in this work, the aerodynamic loads are the same as those obtained in the  
 517 simulations of the experimental prototype, but the setup of the structural simulation changes.

Layout	$d_{p-p}^{\text{center}}$ (μm)	$d_{p-p}^{\text{side}}$ (μm)	$f_1$ (Hz)	$f_5$ (Hz)	$f_8$ (Hz)	$f_{12}$ (Hz)
Si 40 μm (baseline)	0.5	0.5	766	1209	1551	1752
Si 50 μm	0.35	0.35	861	1355	1816	2074
Si+Al (40+10 μm)	0.55	0.55	761	1192	1592	1828

Table 4: Natural frequencies and displacements of Layer 2 of the real ALICE ITS3 for different layouts.

518 Table 4 shows the natural frequencies and displacements for different layouts. As predicted  
 519 from the theory of rectangular plates [74], for a given material and planar dimensions, the  
 520 natural frequencies are proportional to the thickness, which leads to the increase of the values  
 521 for thicker layers. Given the similar density of Al and Si, the system mass remains approximately

522 constant in the Si+Al layout as opposed to the Si case of 50  $\mu\text{m}$ . However, stiffness decreases  
 523 due to the significantly lower Young modulus of Al. Similar conclusions can be extracted from  
 524 the displacements, with maximum differences of up to 40% between the layouts considered.

525 The frequency response of Layer 2 for the baseline layout is given in Fig. 17; only the first  
 526 natural frequency is present in Fig. 17a, while the first and fifth frequencies are responsible  
 527 for the C-side point displacements (Fig. 17a). The reduced number of relevant frequencies is  
 528 because of the eighth and twelfth natural frequencies are higher than 1500 Hz, and in this range,  
 529 the amplitudes of pressure forces are negligible (see Fig. 13b). The shape of the PSD and the  
 530 values of the displacements are similar to those of the experimental setup, which means that the  
 531 results obtained with experimental setup are representative of the real behavior of the ALICE  
 532 ITS3. The design requirement ( $d_{p-p} < 2 \mu\text{m}$ ) is met for all cases.

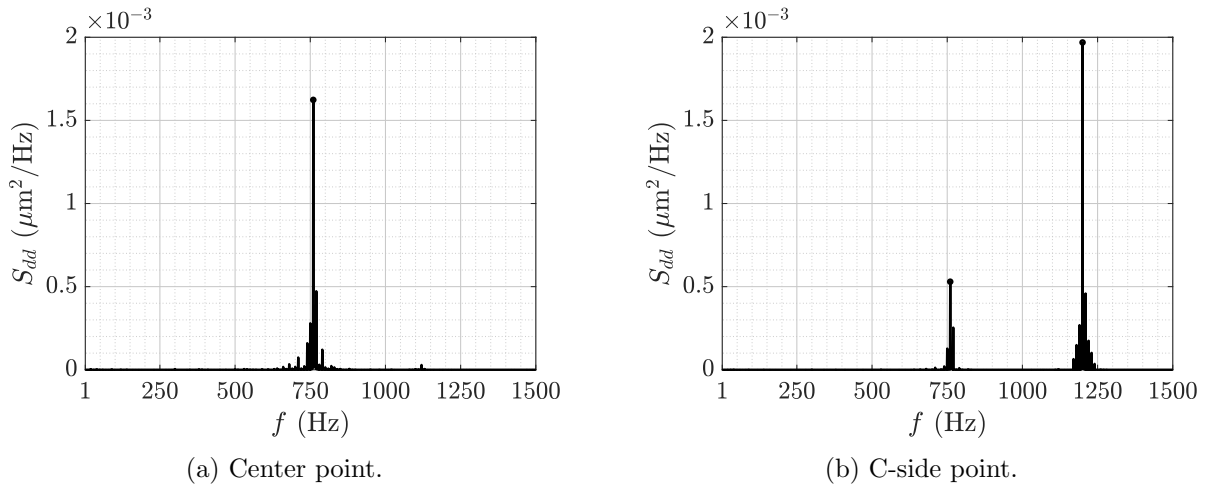


Fig. 17: Simulation results of of Layer 2 displacements of the baseline layout of the ALICE ITS3.

### 533 3.2.1. Design modifications

534 As mentioned in Section 2.1, holes of 1.5 mm of diameter are drilled in the foams of the  
 535 experimental setup to reduce the pressure loss. This aspect is relevant for two reasons: first,  
 536 the system will work without interruption for many months, and the power consumption of  
 537 the fan should be minimized; second, because the gap of 1 mm between the half-barrels (see  
 538 Fig. 1b) will lead to a loss of airflow to the environment that increases as the foam pressure  
 539 loss increases. Moreover, the ALICE ITS3 installation will be challenging due to the reduced  
 540 free space in the ALICE cavern and the tight design tolerances; therefore, this gap could be  
 541 increased up to 2 mm, leading to further increase of the leak rate. To reduce the foam pressure  
 542 loss, two modifications are proposed: increasing the diameter of the hole to 2 mm (Fig. 18b)  
 543 and generating 1.5 mm height slots (Fig. 18c). It has been verified that these modifications  
 544 applied to the K9 foam lead to an increase of up to 1 °C at the surface in contact with the  
 545 foam, and thermal design requirements [24] are met even with this increase.

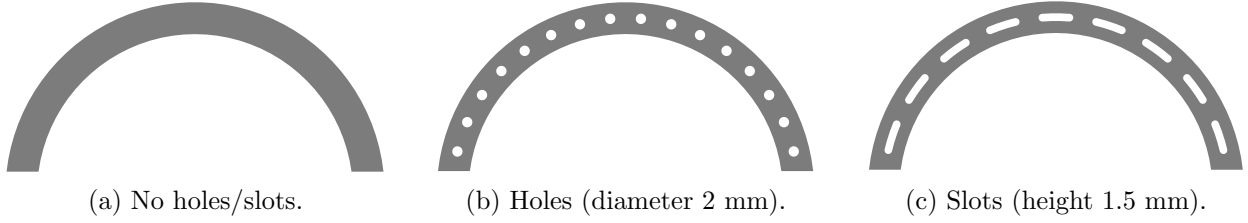


Fig. 18: Different foam designs used for simulation.

546 Table 5 lists the foam pressure loss of different designs, which is related to the power con-  
547 sumption and the leak rate of the system, as well as the peak-to-peak displacements at the  
548 center and C-side point, which are required to verify that the system meets the requirements re-  
549 quired by the organization. It is shown that drilling holes of 2 mm and slots of 1.5 mm decrease  
550 the pressure loss through the foam  $(\nabla p)_f$  15% and 30%, respectively, compared to the baseline  
551 design. However, the displacements of the layers increase significantly. In these configurations,  
552 even if the maximum velocity is reduced with respect to the baseline case (holes of 1.5 mm), the  
553 amount of air that passes through the foam cells is reduced. Since the foam acts as a turbulence  
554 reducer due to the low cell characteristic cell size ( $\approx 200 \mu\text{m}$ ), turbulence levels increase as  
555 foam material is removed. If no material is removed from the foam, no turbulence is generated,  
556 and vibrations are negligible in a channel flow at a Reynolds number of 3000. However this  
557 configuration entails a higher pressure loss than the baseline setup. It should be noted that  
558 decreasing the ratio between the foam (filled with cells) sectional area and the channel section,  
559  $\mathcal{S}$ , does not lead to increased structural displacements for all values of  $\mathcal{S}$ . This is because in the  
560 limit  $\mathcal{S} \rightarrow 0$  the foam is no longer present, and the flow is the same channel flow that leads to  
561 almost vanishing layer displacements mentioned before. These cases for which  $\mathcal{S} \ll 1$  are not  
562 considered due to the fragility of foams and the compromised thermal performance. However,  
563 intermediate cases could be considered if the thermal design requirements are relaxed.

Design	$(\nabla p)_f$ (Pa/mm)	$d_{p-p}^{\text{center}}$ ( $\mu\text{m}$ )	$d_{p-p}^{\text{cside}}$ ( $\mu\text{m}$ )
Holes 1.5 mm (baseline)	110	0.5	0.5
Holes 2 mm	90	0.7	0.7
Slots 1.5 mm	75	1	1
No holes and/or slots	130	$\approx 0$	$\approx 0$

Table 5: Foam pressure loss and Layer 2 displacements of the baseline layout of the ALICE ITS3 for different foam designs.

564 Figure 19 illustrates the turbulent structures obtained from iso-surfaces of the Q-criterion,  
565 which is one of the invariants of the  $\nabla \mathbf{v}$  tensor [79]. As predicted in the model developed in  
566 Section 2.2.1, the flow instability is generated after the air passes through the holes, and the size

567 of the turbulent region is of the order of the hole diameter in  $S_1$  as defined in Fig. 9a. This leads  
 568 to no interaction with Layer 2, and, as a consequence, to negligible pressure fluctuations (see  
 569 Fig. 19a). Then, in  $S_2$  the turbulent length scale increases and the jet mixing leads to maximum  
 570 turbulence levels. After this point, turbulent structures are damped, giving rise downstream to  
 571 the fully developed channel flow. The picture is similar in the case of 2 mm holes (Fig. 19b),  
 572 with increased pressure fluctuations due to the increased amount of air that is accelerated.

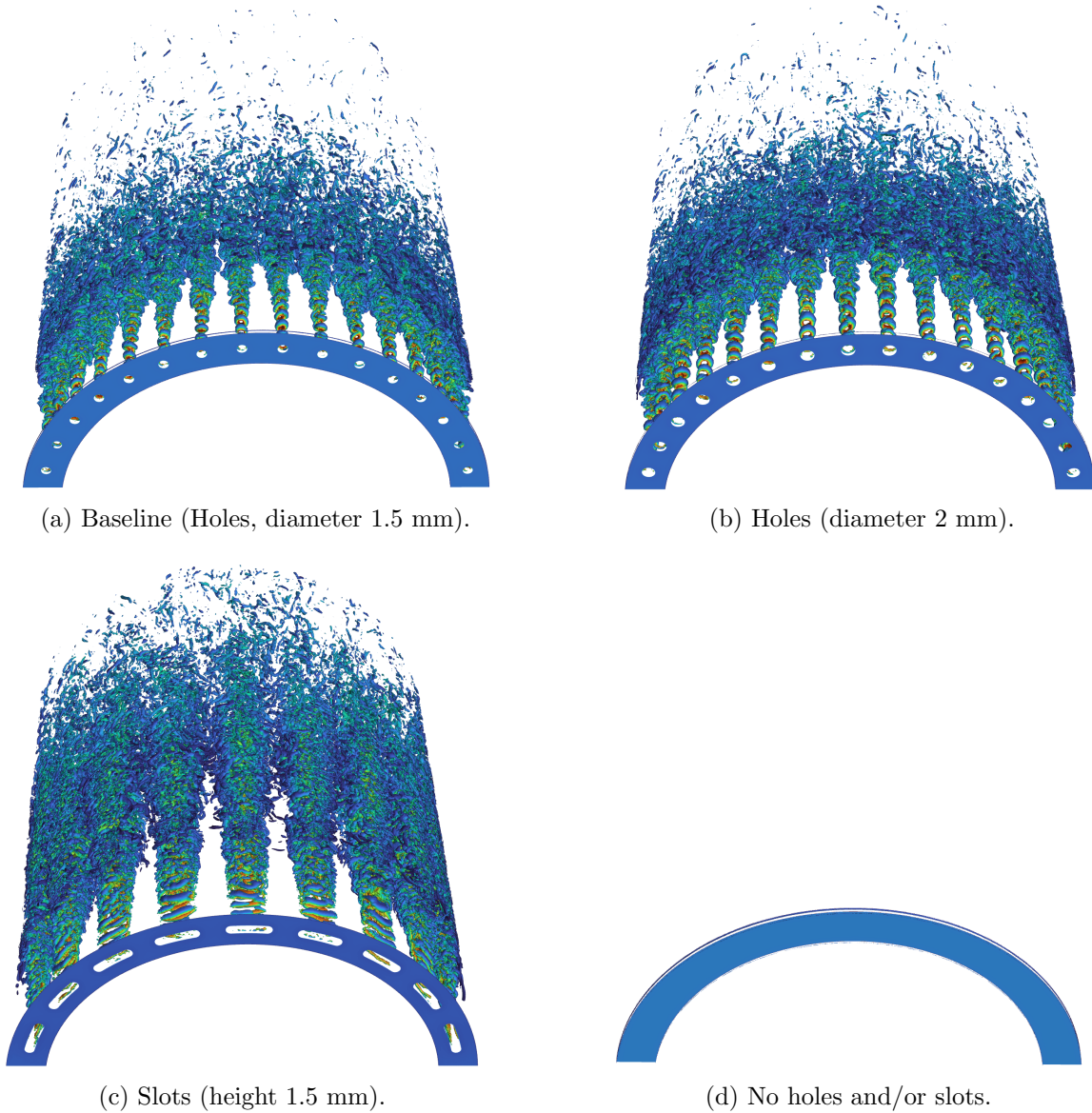


Fig. 19: Iso-surfaces of  $Q = 5 \times 10^7 \text{ s}^{-2}$  for different foam designs.

573 The large turbulent scales provide the dominant contribution to the turbulent kinetic energy  
 574 [31]; thus, higher displacements are obtained in the case with slots (see Fig. 19c). Another  
 575 consequence of the higher energy of the jets is that the interaction and subsequent damping  
 576 are produced further away from the foam. When no holes and/or slots are defined, there are

577 no turbulence sources (see Fig. 19d), and consequently, layer displacements vanish. It has  
578 been verified that the PSDs of the pressure forces of different foam designs on the surface with  
579 the highest fluctuations ( $S_2$ ) are essentially Fig. 13b scaled by a factor, that is, with similar  
580 dominant frequencies. Another deduction is that the peak-to-peak values of the displacements  
581 are proportional to the peak-to-peak values of the pressure forces, which is in line with the  
582 predictions of classical analytical theories that consider steady variables [74].

#### 583 4. Conclusions

584 In this work, the aeroelastic study of the ALICE Inner Tracking System 3 (ITS3) has been  
585 performed. This is a future particle detector of the ALICE experiment that will consist of three  
586 silicon layers of approximately 40  $\mu\text{m}$  of thickness that will be bent into half-cylindrical shape.  
587 The detector will use a cooling system based on air convection to remove the heat dissipated by  
588 the layers, which will be the first time in the Large Hadron Collider. The structure will contain  
589 carbon foams as structural supports and heat exchangers, leading to an extremely low value of  
590 the material budget, which is one of the performance indicators of particle detectors.

591 The interaction between the airflow and the silicon layers has been studied experimentally  
592 and numerically. The displacement of the outermost layer of a prototype of the ITS3 has been  
593 measured with a confocal sensor. This is a non-invasive device that provides sub-micron accu-  
594 racy, one order of magnitude higher than industry-standard devices such as laser displacement  
595 sensors. To the authors' knowledge, this is the first publication on the use of confocal sen-  
596 sors in a high-level engineering application. Experimental work has been complemented with  
597 a novel model development specifically designed for analyzing the fluid-structure interaction.  
598 This model is based on decoupling the structural and fluid problems, and a geometry of reduced  
599 size focused on solving the main flow physics is defined in the fluid simulations to reduce the  
600 computational cost.

601 The capabilities of confocal sensors for measuring displacements with submicron accuracy  
602 and at sampling frequencies of up to 30 kHz has been demonstrated in a case of practical ap-  
603 plication. Experimental and simulation results of layer displacements have been compared in a  
604 prototype of the ITS3. It has been shown that the developed model predicts the natural fre-  
605 quencies identified experimentally with an error lower than 10%, and that the risk of mechanical  
606 resonance is low due to the lack of aerodynamic coupling. The results demonstrate that the  
607 model gives an accurate prediction of the peaks of the displacement spectrum. It has been noted  
608 that experimental data provide higher peak-to-peak values of the displacements than the sim-  
609 ulations. This has been mainly attributed to the presence of low-frequency components in the  
610 experimental spectrum produced by the fan that generates the airflow. Simulations have also  
611 been performed to analyze the real configuration of the ALICE ITS3, which differs slightly from

612 the one used in the experimental setup. These results have demonstrated that the experimental  
613 setup is representative of the real behavior of the ALICE ITS3, and that design requirements  
614 are met in all cases considered. Parametric studies have been performed to analyze the effect  
615 of the ITS3 layer composition and thickness on the structural response. In addition, it has  
616 been concluded that foam design can be tuned to find the required balance between structural  
617 displacements, energy consumption, and detector cooling.

618 The current study has led to the conclusion that the external noise contributes to layer  
619 displacements of the same order as the one of the aerodynamic loads. When installed in the  
620 ALICE detector, the ITS3 will be mechanically connected to other components, which are not  
621 considered in the prototype tested in this work. The external noise of the ALICE cavern could  
622 lead to displacements of the entire structure, which would imply additional displacements of  
623 the layers. Thus, future work will deal with the modeling of noise and structural properties of  
624 the ITS3 when integrated into other subsystems of the ALICE detector.

## 625 Appendix A. Influence of the modeling of integrated heater layout

626 The proposed model gives an acceptable approximation of the first measured natural frequen-  
627 cies, with differences lower than 10% (see Table A.6). Neglecting the Cu layer is an intermediate  
628 assumption, as contributions to the mass, damping, and stiffness matrices are neglected. Even  
629 if the thickness of the copper layer is only 5  $\mu\text{m}$ , the high density of this material (see Ta-  
630 ble 1) leads to a vital contribution to the system mass. Consequently, it is crucial to study  
631 the influence of the modeling assumption. The most conservative approach is to consider that  
632 Layer 2 consists of a Si layer of 40  $\mu\text{m}$  and a uniformly distributed mass equal to the sum of  
633 the contributions of the glue, PI, and Cu layers. Contrarily, the ideal situation occurs when  
634 the Cu layer is added to the baseline layout. Table A.6 shows that the baseline configuration  
635 provides the closest prediction to the experimental data in the natural frequencies. In addition,  
636 the effects of modifying the layout on the values of the natural frequencies are significant, with  
637 differences up to 50% in  $f_1$  between the Si + mass and Baseline + Cu. As expected, when only  
638 the Si layer contributes to the system stiffness, displacements increase.

Layout	$d_{p-p}^{\text{center}}$ ( $\mu\text{m}$ )	$d_{p-p}^{\text{side}}$ ( $\mu\text{m}$ )	$f_1$ (Hz)	$f_5$ (Hz)	$f_8$ (Hz)	$f_{12}$ (Hz)
Experiment	1	0.9	550	850	1250	–
Baseline	0.3	0.3	586	934	1236	1412
Si + mass	0.45	0.45	438	692	888	1003
Baseline + Cu	0.2	0.2	709	1091	1452	1798

Table A.6: Natural frequencies and displacements of Layer 2 of the experimental setup for different layouts.

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